

## Simula and Smalltalk

John Mitchell

## Simula 67

- First object-oriented language
- Designed for simulation
  - Later recognized as general-purpose prog language
- Extension of Algol 60
- Standardized as Simula (no "67") in 1977
- Inspiration to many later designers
  - Smalltalk
  - C++
  - ...

## Brief history

- Norwegian Computing Center
  - Designers: Dahl, Myhrhaug, Nygaard
  - Simula-1 in 1966 (*strictly a simulation language*)
  - General language ideas
    - Influenced by Hoare's ideas on data types
    - Added classes and prefixing (subtyping) to Algol 60
  - Nygaard
    - Operations Research specialist and political activist
    - Wanted language to describe social and industrial systems
    - Allow "ordinary people" to understand political (?) changes
  - Dahl and Myhrhaug
    - Maintained concern for general programming

## Comparison to Algol 60

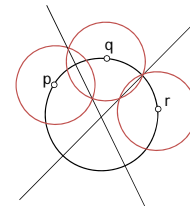
- Added features
  - class concept
  - reference variables (pointers to objects)
  - pass-by-reference
  - char, text, I/O
  - coroutines
- Removed
  - Changed default par passing from pass-by-name
  - some var initialization requirements
  - own (=C static) variables
  - string type (in favor of text type)

## Objects in Simula

- Class
  - A procedure that returns a pointer to its activation record
- Object
  - Activation record produced by call to a class
- Object access
  - Access any local variable or procedures using dot notation: object.
- Memory management
  - Objects are garbage collected
    - user destructors considered undesirable

## Example: Circles and lines

- Problem
  - Find the center and radius of the circle passing through three distinct points, p, q, and r
- Solution
  - Draw intersecting circles  $C_p, C_q$  around p, q and circles  $C_q', C_r$  around q, r (Picture assumes  $C_q = C_q'$ )
  - Draw lines through circle intersections
  - The intersection of the lines is the center of the desired circle.
  - Error if the points are colinear.



## Approach in Simula

- Methodology
  - Represent points, lines, and circles as objects.
  - Equip objects with necessary operations.
- Operations
  - Point
    - equality(anotherPoint) : boolean
    - distance(anotherPoint) : real (needed to construct circles)
  - Line
    - parallelo(anotherLine) : boolean (to see if lines intersect)
    - meets(anotherLine) : REF(Point)
  - Circle
    - intersects(anotherCircle) : REF(Line)

## Simula Point Class

```

class Point(x,y); real x,y;
begin
  boolean procedure equals(p); ref(Point) p;
  if p /= none then
    equals := abs(x - p.x) + abs(y - p.y) < 0.00001
  real procedure distance(p); ref(Point) p;
  if p == none then error else
    distance := sqrt((x - p.x)**2 + (y - p.y)**2);
end ***Point***

p := new Point(1.0, 2.5);
q := new Point(2.0, 3.5);
if p.distance(q) > 2 then

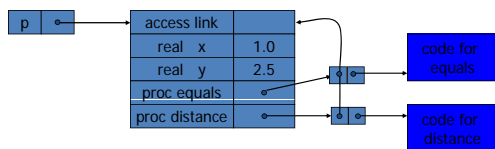
```

formal is pointer to Point

uninitialized ptr has value none

pointer assignment

## Representation of objects



Object is represented by activation record with access link to find global variables according to static scoping

## Simula line class

```

class Line(a,b,c); real a,b,c;
begin
  boolean procedure parallelo(l); ref(Line) l;
  if l /= none then parallelo := ...
  ref(Point) procedure meets(l); ref(Line) l;
  begin real t;
  if l /= none and ~parallelo(l) then ...
  end;
  real d; d := sqrt(a**2 + b**2);
  if d = 0.0 then error else
  begin
    d := 1/d;
    a := a*d; b := b*d; c := c*d;
  end;
end ***Line***

```

Local variables

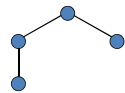
line determined by  $ax+by+c=0$

Procedures

Initialization: "normalize" a,b,c

## Derived classes in Simula

- A class decl may be prefixed by a class name
  - class A
  - A class B
  - A class C
  - B class D
- An object of a "prefixed class" is the concatenation of objects of each class in prefix
  - d :- new D(...)
    - A part
    - B part
    - D part



## Subtyping

- The type of an object is its class
- The type associated with a subclass is treated as a subtype of the type assoc with superclass
- Example:
 

```

class A(...); ...
A class B(...); ...
ref (A) a :- new A(...)
ref (B) b :- new B(...)
a := b /* legal since B is subclass of A */
...
b := a /* also legal, but run-time test */

```

## Main object-oriented features

- Classes
- Objects
- Inheritance (“class prefixing”)
- Subtyping
- Virtual methods
  - A function can be redefined in subclass
- Inner
  - Combines code of superclass with code of subclass
- Inspect/Qua
  - run-time class/type tests

## Features absent from Simula 67

- Encapsulation
  - All data and functions accessible; no private, protected
- Self/Super mechanism of Smalltalk
  - But has an expression `this(class)` to refer to object itself, regarded as object of type `<class>`. Not clear how powerful this is...
- Class variables
  - But can have global variables
- Exceptions
  - Not fundamentally an OO feature ...

## Simula Summary

- Class
  - “procedure” that returns ptr to activation record
  - initialization code always run as procedure body
- Objects: *closure created by a class*
- Encapsulation
  - protected and private not recognized in 1967
  - added later and used as basis for C++
- Subtyping: *determined by class hierarchy*
- Inheritance: *provided by class prefixing*

## Smalltalk

- Major language that popularized objects
- Developed at Xerox PARC
  - Smalltalk-76, Smalltalk-80 were important versions
- Object metaphor extended and refined
  - Used some ideas from Simula, but very different lang
  - Everything is an object, even a class
  - All operations are “messages to objects”
  - Very flexible and powerful language
    - Similar to “everything is a list” in Lisp, but more so
    - Example: object can detect that it has received a message it does not understand, can try to figure out how to respond.

## Motivating application: Dynabook

- Concept developed by Alan Kay
- Small portable computer
  - Revolutionary idea in early 1970’s
    - At the time, a *minicomputer* was shared by 10 people, stored in a machine room.
  - What would you compute on an airplane?
- Influence on Smalltalk
  - Language intended to be programming language and operating system interface
  - Intended for “non-programmer”
  - Syntax presented by language-specific editor

## Smalltalk language terminology

- Object *Instance of some class*
- Class *Defines behavior of its objects*
- Selector *Name of a message*
- Message *Selector together with parameter values*
- Method *Code used by a class to respond to message*
- Instance variable *Data stored in object*
- Subclass *Class defined by giving incremental modifications to some superclass*

## Example: Point class

- Class definition written in tabular form

class name	Point
super class	Object
class var	pi
instance var	x y
class messages and methods	
<...names and code for methods...>	
instance messages and methods	
<...names and code for methods...>	

## Class messages and methods

**Three class methods**  
 newX:yvalue Y:yvalue ||  
 ^ self new x: xvalue  
 y: yvalue

newOrigin ||  
 ^ self new x: 0  
 y: 0

initialize ||  
 pi <- 3.14159

### Explanation

- selector is mix-fix newX:Y: e.g, Point newX:3 Y:2
- symbol ^ marks return value
- new is method in all classes, inherited from Object
- || marks scope for local decl
- initialize method sets pi, called automatically
- <- is syntax for assignment

## Instance messages and methods

### Five instance methods

x: xcoord y: ycoord ||  
 x <- xcoord  
 y <- ycoord

moveDx: dx Dy: dy ||  
 x <- dx + x  
 y <- dy + y

x || ^x  
 y || ^y  
 draw ||

<...code to draw point...>

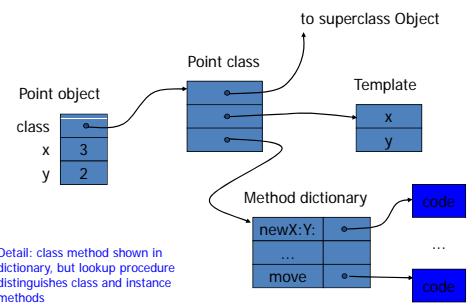
### Explanation

set x,y coordinates,  
 e.g, pt x:5 y:3

move point by given amount

return hidden inst var x  
 return hidden inst var y  
 draw point on screen

## Run-time representation of point



## Inheritance

- Define colored points from points

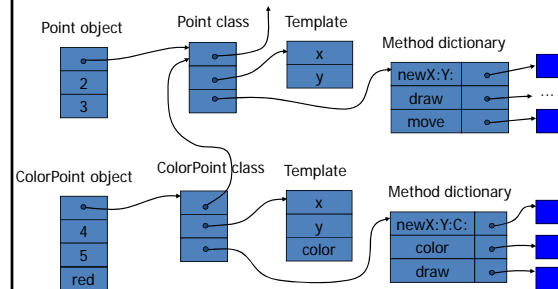
class name	ColorPoint
super class	Point
class var	
instance var	color
class messages and methods	
newX:xv Y:yv C:cv <... code ...>	
instance messages and methods	
color	^color
draw	<... code ...>

new instance variable

new method

override Point method

## Run-time representation



This is a schematic diagram meant to illustrate the main idea. Actual implementations may differ.

## Encapsulation in Smalltalk

- Methods are public
- Instance variables are hidden
  - Not visible to other objects
    - pt x is not allowed unless x is a method
  - But may be manipulated by subclass methods
    - This limits ability to establish invariants
    - Example:
      - Superclass maintains sorted list of messages with some selector, say insert
      - Subclass may access this list directly, rearrange order

## Object types

- Each object has interface
  - Set of instance methods declared in class
  - Example:
 

```
Point    { x:y;, moveDx:Dy:, x, y, draw}
ColorPoint { x:y;, moveDx:Dy:, x, y, color, draw}
```
  - This is a form of type
    - Names of methods, does not include type/protocol of arguments
- Object expression and type
  - Send message to object
 

```
p draw      p x:3 y:4
```

## Subtyping

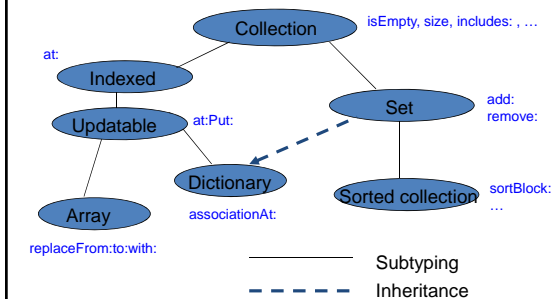
- Relation between interfaces
  - Suppose expression makes sense
 

```
p msg:args -- OK if msg is in interface of p
```
  - Replace p by q if interface of q contains interface of p
- Subtyping
  - If interface is superset, then a subtype
  - Example: ColorPoint subtype of Point
  - Sometimes called “conformance”

## Subtyping and Inheritance

- Subtyping is implicit
  - Not a part of the programming language
  - Important aspect of how systems are built
- Inheritance is explicit
  - Used to implement systems
  - No forced relationship to subtyping

## Collection Hierarchy



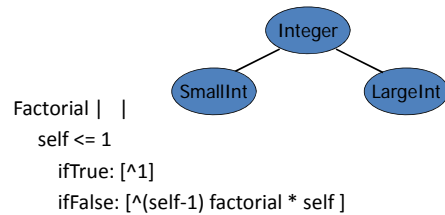
## Smalltalk Flexibility

- Measure of PL expressiveness:
  - Can constructs of the language be defined in the language itself?
  - Examples:
    - Lisp cond: Lisp allows user-defined special forms
    - ML datatype: sufficient to define polymorphic lists, equivalent to built-in list type
    - ML overloading: limitation, since not available to programmer
    - C/C++: ???
- Smalltalk is expressive in this sense

## Smalltalk booleans and blocks

- Boolean value is object with `ifTrue:ifFalse:`
  - Class `boolean` with subclasses `True` and `False`
  - `True ifTrue:B1 ifFalse:B2` executes B1
  - `False ifTrue:B1 ifFalse:B2` executes B2
- Example expression
  - `i < j ifTrue: [i add 1] ifFalse: [j subtract 1]`
  - `i < j` is boolean expression, produces boolean object
  - `arg's` are *blocks*, objects with execute methods
- Since booleans and blocks are very common

## Self and Super



This method can be implemented in `Integer`, and works even if `SmallInt` and `LargeInt` are represented differently. C++ and Java type systems can't really cope with this.

## Ingalls' test

- Dan Ingalls: principal designer Smalltalk system
  - Grace Murray Hopper award for Smalltalk and Bitmap graphics work at Xerox PARC
  - 1987 ACM Software Systems Award with Kay, Goldberg
- Proposed test for “object oriented”
  - Can you define a new kind of integer, put your new integers into rectangles (which are already part of the window system), ask the system to blacken a rectangle, and have everything work?
  - Smalltalk passes, C++ fails this test

## Smalltalk integer operations

- Integer expression
  - `x plus: 1 times: 3 plus: (y plus: 1) print`
- Properties
  - All operations are executed by sending messages
  - If `x` is from some “new” kind of integer, expression makes sense as long as `x` has `plus`, `times`, `print` methods.

Actually, compiler does some optimization. But will revert to this if `x` is not built-in integer.

## Costs and benefits of “true OO”

- Why is property of Ingalls test useful?
  - Everything is an object
  - All objects are accessed only through interface
  - Makes programs extensible
- What is implementation cost?
  - Every integer operation involves method call
    - Unless optimizing compiler can recognize many cases
  - Is this worth it?
    - One application where it seems useful ?
    - One application where it seems too costly?

## Smalltalk Summary

- Class
  - creates objects that share methods
  - pointers to template, dictionary, parent class
- Objects: *created by a class, contains instance variables*
- Encapsulation
  - methods public, instance variables hidden
- Subtyping: *implicit, no static type system*
- Inheritance: *subclasses, self, super*  
Single inheritance in Smalltalk-76, Smalltalk-80

## Ruby

[www.ruby-lang.org](http://www.ruby-lang.org)

- Ruby is a “complete, full, pure object oriented language”
  - All data in Ruby are objects, in the sense of Smalltalk
  - Example: the number 1 is an instance of class Fixnum
- Very flexible
  - Can add methods to a class, or even to instance during runtime
  - Closures
  - Automatic small integer (Fixnum) large integer (Bignum) conversion
- Single inheritance only
  - Ruby features single inheritance only, \*on purpose\*
  - Modules are collections of methods
    - A class can import a module and gets all its methods

## Example Ruby class

```
class Song
  @@plays = 0
  def initialize(name, artist, duration)
    @name = name
    @artist = artist
    @duration = duration
    @plays = 0
  end
  def play
    @plays += 1
    @@plays += 1
    "This song: #@plays plays. Total #@@plays plays."
  end
end
```

see <http://www.rubycentral.com/book/>