

Pseudorandom generators with optimal seed length for non-boolean poly-size circuits

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Abstract

A sampling procedure for a distribution P over $\{0, 1\}^\ell$, is a function $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ such that the distribution $C(U_n)$ (obtained by applying C on the uniform distribution U_n) is the “desired distribution” P . Let $n > r \geq \ell = n^{\Omega(1)}$. An ϵ -nb-PRG (defined by Dubrov and Ishai (STOC 2006)) is a function $G : \{0, 1\}^r \rightarrow \{0, 1\}^n$ such that for every $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ in some class of “interesting sampling procedures”, $C'(U_r) = C(G(U_r))$ is ϵ -close to $C(U_n)$ in *statistical distance*.

We construct poly-time computable nb-PRGs with $r = O(\ell)$ for poly-size circuits relying on the assumption that there exists $\beta > 0$, and a problem L in $E = \text{DTIME}(2^{O(n)})$ such that for every large enough n , nondeterministic circuits of size $2^{\beta n}$ that have NP-gates cannot solve L on inputs of length n . This assumption is a scaled nonuniform analogue of (the widely believed) $\text{EXP} \neq \Sigma_2^P$, and similar assumptions appear in various contexts in derandomization. Previous nb-PRGs of Dubrov and Ishai have $r = \Omega(\ell^2)$ and are based on very strong cryptographic assumptions, or alternatively, on non-standard assumptions regarding incompressibility of functions on random inputs. When restricting to poly-size circuits $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ with Shannon entropy $H(C(U_n)) \leq k$, for $\ell > k = n^{\Omega(1)}$, our nb-PRGs have $r = O(k)$. The nb-PRGs of Dubrov and Ishai use seed length $r = \Omega(k^2)$ and require that the probability distribution of $C(U_n)$ is efficiently computable.

Our nb-PRGs follow from a notion of “conditional PRGs” which may be of independent interest. These are PRGs where $G(U_r)$ remains pseudorandom even when conditioned on a “large” event $\{A(G(U_r)) = 1\}$, for an arbitrary poly-size circuit A . A related notion was considered by Shaltiel and Umans (CCC 2005) in a different setup, and our proofs use ideas from that paper, as well as ideas of Dubrov and Ishai.

We also give an unconditional construction of a poly-time computable nb-PRGs for poly(n)-size, depth d circuits $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ with $r = O(\ell \cdot \log^{d+O(1)} n)$. This improves upon the previous work of Dubrov and Ishai that has $r \geq \ell^2$. This result follows by adapting a recent PRG construction of Trevisan and Xue (CCC 2013) to the case of nb-PRGs, and implementing it by constant-depth circuits.

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1 Introduction

A sampling procedure is a function $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ such that when C is applied on the uniform distribution U_n , the obtained distribution $C(U_n)$ is some “desired distribution” P over ℓ -bit strings. There are two natural complexity measures for sampling procedures: the *computational complexity* of the function C , and the *randomness complexity* which is the number of random bits used by the procedure (denoted here by n). The reader is referred to [Vio12], for a discussion on the complexity of sampling procedures.

Dubrov and Ishai [DI06] considered the following natural problem: is it possible to reduce the randomness complexity of sampling procedures without substantially increasing their computational complexity? Specifically, given an efficient sampling procedure $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ with $n > \ell$, construct an efficient sampling procedure $C' : \{0, 1\}^r \rightarrow \{0, 1\}^\ell$ which uses only $r \ll n$ random bits, and $C'(U_r)$ is close to the desired distribution $C(U_n)$ in *statistical distance*.¹ For this purpose, Dubrov and Ishai suggested the following notion of “pseudorandom generator against non-Boolean statistical tests”.

Definition 1.1 (nb-PRG [DI06]). *A function $G : \{0, 1\}^r \rightarrow \{0, 1\}^n$ is an ϵ -nb-PRG for a function $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ if the distributions $C(G(U_r))$ and $C(U_n)$ are ϵ -close (and we say that G ϵ -fools C). G is an ϵ -nb-PRG for a class \mathcal{C} of functions, if G is an ϵ -nb-PRG for every function in the class.*

Indeed, given an efficient nb-PRG G we can compute $C'(U_r) = C(G(U_r))$ and sample a distribution that is ϵ -close to $C(U_n)$ using only r random bits.² Note that if the class of sampling procedures that we consider contains the function $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ that outputs the first ℓ bits (and any reasonable complexity class does), then the seed length r has to be at least ℓ (assuming $\epsilon < 1/2$).

The notion of nb-PRGs is a natural generalization of “standard PRGs” defined below.

Definition 1.2 (PRG). *A function $G : \{0, 1\}^r \rightarrow \{0, 1\}^n$ is an ϵ -PRG for a function $C : \{0, 1\}^n \rightarrow \{0, 1\}$ if $|\Pr[C(G(U_r)) = 1] - \Pr[C(U_n) = 1]| \leq \epsilon$ (that is iff $C(G(U_r))$ and $C(U_n)$ are ϵ -close). G is an ϵ -PRG for a class \mathcal{C} of functions, if G is an ϵ -PRG for every function in the class.*

Consequently, nb-PRGs are at least as hard to construct as (standard) PRGs. In this paper we will be interested nb-PRGs for two types of sampling procedures: polynomial-size circuits (for which we will have to rely on unproven assumptions) and circuits with polynomial-size and constant depth (for which we can expect and obtain unconditional constructions).

If $H(C(U_n))$ is small. If we are guaranteed that the Shannon entropy of $C(U_n)$ is small (say $H(C(U_n)) \leq k$ for some parameter $k < \ell$) then we can hope for a shorter seed length $r \approx k$ (which can be smaller than ℓ). As we now explain, in this setup the best seed length that we can expect is k/ϵ . This is because there are efficiently samplable distributions P with entropy k , such that any distribution that is ϵ -close to P cannot be sampled using less than $\Omega(k/\epsilon)$ bits.³ Thus, an

¹Two distributions over the same domain are ϵ -close if the probability that they assign to any event differs by at most ϵ .

²It is important to observe that $C'(U_r)$ is required to be *statistically indistinguishable* from $C(U_n)$. Standard PRGs suffice if we relax the requirement to *computational indistinguishability*.

³Let $2^{-n} \leq \epsilon \leq 1/10$. Fix some $x \in \{0, 1\}^n$ and consider the distribution P over $\{0, 1\}^n$ which gives weight $1 - 4 \cdot \epsilon$ to x and $4 \cdot \epsilon / (2^n - 1)$ to every other string. Note that $H(P) = O(\epsilon n)$, and yet, for every distribution Q that is samplable using less than $n/2$ random bits, Q is not ϵ -close to P .

ϵ -nb-PRG for poly-size circuits that are guaranteed to produce distributions with entropy $\leq k$, must have seed length $r = \Omega(k/\epsilon)$.

1.1 nb-PRGs for polynomial-size circuits

The setup. The most natural setup of parameters for sampling procedures is the case of sampling procedures C where the input length n , output length ℓ and the size of C are all polynomially related, and we fix this choice of parameters for this discussion. For the application of reducing randomness for sampling procedures, the size of C is *known* to the PRG, and the PRG may be allowed to run in time $p(n)$ for a polynomial p that is larger than the size of C . In the terminology of PRGs, this setup is often referred to as the “Nisan-Wigderson setting” [NW94]. However, note that as $\ell = n^{\Omega(1)}$ and the seed length must be at least ℓ , we are interested in PRGs $G : \{0, 1\}^r \rightarrow \{0, 1\}^{r^{O(1)}}$ (often referred to as polynomial stretch). The application also dictates that G runs in time polynomial in r . This is in contrast to the standard “Nisan-Wigderson setting” in which PRGs are often allowed to run in time exponential in their seed length (because intended applications plan to enumerate all seed anyway).⁴

Using cryptographic PRGs. A very natural approach to construct nb-PRGs is to reduce to constructing standard PRGs. It is immediate that a standard PRG for circuits of size $s + 2^\ell$ is an nb-PRG for circuits $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ of size s . (This is because any statistical test on ℓ bits can be implemented by a circuit of size 2^ℓ). This means that a (standard) PRG $G : \{0, 1\}^r \rightarrow \{0, 1\}^n$ that fools circuits of size $s + 2^\ell = 2^{n^{\Omega(1)}}$ is an nb-PRG with the desired parameters. These parameters are obviously impossible in the Nisan-Wigderson setting (where a PRG that runs in polynomial time cannot fool a circuit of size superpolynomial). However, one can hope to achieve such parameters using “cryptographic PRGs” such as the Blum-Micali-Yao [BM84, Yao82] or HILL [HILL99, HHR11, Hol06, HRV10]. Such PRGs imply (and therefore require) cryptographic assumptions such as the existence of one-way functions. Indeed, Dubrov and Ishai observe that if there exist one-way permutations $f : \{0, 1\}^r \rightarrow \{0, 1\}^r$ that cannot be inverted with noticeable probability by circuits of size $2^{O(\ell)}$, then the PRG construction of Blum, Micali and Yao [BM84, Yao82] gives an nb-PRG with seed length r . A weakness of this approach is that in order to achieve seed length $r = O(\ell^c)$ we need one-way permutations that cannot be inverted by circuits of size $2^{\Omega(r^{1/c})}$. This means that we can achieve seed length $r = O(\ell)$ only if we have permutations that cannot be inverted with noticeable probability by size $2^{\Omega(r)}$ circuits. This is a very strong assumption that is known not to hold for some of the candidate one-way permutations. This assumption becomes plausible for constants $c \gg 1$ and gives nb-PRGs with seed length $r = O(\ell^c)$.⁵

Dubrov and Ishai also show that this approach also yields nb-PRGs with seed length $r = O((k/\epsilon)^c)$ for polynomial-size circuits C which are guaranteed to sample distributions with Shannon entropy $\leq k$.⁶

⁴We remark that a similar setup (in the boolean setting) arises in “typically-correct derandomization” [Sha11, KvMS12, Sha10].

⁵One can also consider starting from one-way functions (rather than permutations) but the best known PRG constructions from one-way functions [HILL99, HHR11, Hol06, HRV10] have a polynomial blow-up in the seed length.

⁶This is achieved by showing that there exists a circuit $D : \{0, 1\}^\ell \rightarrow \{0, 1\}^{O(k/\epsilon)}$ of size roughly 2^k such that if $C(U_n)$ and $C(G(U_r))$ are not ϵ -close then $D(C(U_n))$ and $D(C(G(U_r)))$ are not $\Omega(\epsilon)$ -close, meaning that an nb-PRG that fools $D \circ C$ also fools C , and in this setup an nb-PRG can handle very large circuits anyway. Note that this reduction is specific to this setup in which the PRG can fool very large circuits.

Function compression. Dubrov and Ishai show an interesting connection between nb-PRGs and “function compression”. A function $f : \{0, 1\}^n \rightarrow \{0, 1\}$ is *compressed* by a circuit $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ if an unbounded procedure can compute $f(x)$ given $C(x)$ (without receiving x). We say that f is $(1/2 + \epsilon, \ell)$ -compressible by size s circuits, if there exists a size s circuit $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ and a function D such that $f(x) = D(C(x))$ on at least $(1/2 + \epsilon)$ -fraction of the inputs. Dubrov and Ishai suggested to base nb-PRG constructions on the assumption that there exist explicit incompressible boolean functions.⁷

Nisan-Wigderson PRG with incompressible functions. Dubrov and Ishai show that a polynomial time computable nb-PRG that fools circuits of size n^c is obtained under the following assumption: There is a function $f : \{0, 1\}^{O(\ell)} \rightarrow \{0, 1\}$ computable in polynomial time that is not $(1/2 + \Omega(\epsilon/\ell), \Omega(\ell))$ -compressible by circuits $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ of size $n^{O(c)}$. This result follows by using the function f in the Nisan-Wigderson generator [NW94], and follows by a clever argument showing that the security proof of [NW94] applies in this setting. However, a well known inefficiency of the Nisan-Wigderson generator dictates that even under this assumption the obtained seed length cannot be linear in ℓ and must be at least quadratic, that is $r = \Omega(\ell^2)$. This inefficiency was the focus of several works that construct improved PRGs (in the boolean setting) [ISW06, SU05, Uma03, Uma09], but all these approaches give PRGs with running time exponential in the seed length. Consequently, these approaches do not make sense in our setup where PRGs are required to run in time polynomial in the seed length.

1.1.1 Hardness assumptions for exponential size circuits

We give new constructions of nb-PRGs in the “Nisan-Wigderson setting”. Our constructions achieve seed length $r = O(\ell)$ under strong but plausible assumptions. In order to discuss our assumptions we need a quick review of nondeterministic circuits and oracle circuits.

Definition 1.3 (nondeterministic circuits and oracle circuits). *A non-deterministic circuit C has additional “nondeterministic input wires”. We say that the circuit C evaluates to 1 on x iff there exist an assignment to the nondeterministic input wires that makes C output 1 on x . Given a boolean function $A(x)$, an A -circuit is a circuit that is allowed to use A gates (in addition to the standard gates). An NP-circuit is a SAT-circuit (where SAT is the satisfiability function) a Σ_i -circuit is an A -circuit where A is the canonical Σ_i^P -complete language. The size of all circuits is the total number of wires and gates.⁸*

⁷The high level idea is that some PRG constructions in the literature, are proven by a reduction showing that a small distinguisher circuit for the PRG can be converted into a small circuit computing the supposedly hard function. Some of these reductions can also convert a non-boolean distinguisher into a non-boolean circuit that compresses the function. This approach allows using one-way permutations $f : \{0, 1\}^r \rightarrow \{0, 1\}^r$ against poly-size circuits (rather than exponential size circuits), if the permutations have hard-core bits that are not only secure, but are also not $(1/2 + r^{-\omega(1)}, \Omega(r))$ -compressible by polynomial size circuits. Assuming the existence of such one-way permutations, Dubrov and Ishai show that the Blum-Micali-Yao PRG yields an nb-PRG and has seed length $r = O(\ell)$. We are not aware of research that attempts to evaluate the validity of this assumption. We also point out, that this nb-PRG does not extend to have seed length proportional to the entropy, when it is guaranteed that the entropy of the sampled distribution $C(U_n)$ is small.

⁸An alternative approach is to define using the Karp-Lipton notation for Turing machines with advice. For $s \geq n$, a size $s^{\Theta(1)}$ deterministic circuit is equivalent to $\text{DTIME}(s^{\Theta(1)})/s^{\Theta(1)}$, a size $s^{\Theta(1)}$ nondeterministic circuit is equivalent to $\text{NTIME}(s^{\Theta(1)})/s^{\Theta(1)}$, a size $s^{\Theta(1)}$ NP-circuit is equivalent to $\text{DTIME}^{\text{NP}}(s^{\Theta(1)})/s^{\Theta(1)}$, a size $s^{\Theta(1)}$ nondeterministic NP-circuit is equivalent to $\text{NTIME}^{\text{NP}}(s^{\Theta(1)})/s^{\Theta(1)}$, and a size $s^{\Theta(1)}$ Σ_i -circuit is equivalent to

Note for example that an NP-circuit is different than a nondeterministic circuit. The former is a nonuniform analogue of P^{NP} (which contains coNP) while the latter is an analogue of NP. Similarly, a nondeterministic NP-circuit is the nonuniform analogue of $\Sigma_2^{\text{P}} = \text{NP}^{\text{NP}}$ and is thus weaker than a Σ_2 -circuit (which is analogous to $P^{\Sigma_2^{\text{P}}}$). Hardness assumptions against nondeterministic/NP/ Σ_i circuits appear in the literature in various contexts of derandomization [KvM02, MV05, TV00, GW02, SU05, SU06, BOV07]. Typically, the assumption is of the following form: E is hard for exponential size circuits (where the type of circuits is one of the types discussed above). More specifically:

Definition 1.4. *We say that “E is hard for exponential size circuits of type X” if there exists a problem L in $E = \text{DTIME}(2^{O(n)})$ and a constant $\beta > 0$, such that for every sufficiently large n, circuits of type X with size $2^{\beta n}$ fail to compute the characteristic function of L on inputs of length n.*

Such assumptions can be seen as the nonuniform and scaled-up versions of assumptions of the form $\text{EXP} \neq \text{NP}$ or $\text{EXP} \neq \Sigma_2^{\text{P}}$ (which are widely believed in complexity theory). As such, these assumptions are very strong, and yet plausible - the failure of one of these assumptions will force us to change our current view of the interplay between time, nonuniformity and nondeterminism.⁹

1.1.2 New constructions of nb-PRGs for poly-size circuits

We give a construction of nb-PRGs with seed length $r = O(\ell)$ under the assumption that E is hard for exponential size nondeterministic NP-circuits.

Theorem 1.5 (nb-PRGs with short seed). *There is a constant $b > 1$ such that if E is hard for exponential size nondeterministic NP-circuits then for every constants $e > 0$ and $c > 1$ there is a $\text{poly}(n)$ -time computable ϵ -nb-PRG $G : \{0, 1\}^{b\ell} \rightarrow \{0, 1\}^n$ for size n^c circuits $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$, as long as $\ell \geq n^e$, $\epsilon \geq n^{-c}$.*

Note that G runs in time polynomial in n and this polynomial is allowed to depend on c, e . We remark that the assumption that $\ell \geq n^e$ in Theorem 1.5 can be omitted and then we require that $\epsilon \geq \ell^{-c}$. The reader is referred to Remark 1.8 for an explanation.

If the entropy of $C(U_n)$ is small. We also consider the subclass of poly-size circuits C such that $H(C(U_n)) \leq k$. Recall that here, the best we can shoot for is seed length $r = O(k/\epsilon)$. We achieve this under the same hardness assumption.

Theorem 1.6 (nb-PRGs for low entropy procedures). *There is a constant $b > 1$ such that if E is hard for exponential size nondeterministic NP-circuits then for every constants $e > 0$ and $c > 1$ there is a $\text{poly}(n)$ -time computable ϵ -nb-PRG $G : \{0, 1\}^{bk/\epsilon} \rightarrow \{0, 1\}^n$ for size n^c circuits $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ which satisfy $H(C(U_n)) \leq k$, as long as $k \geq n^e$, $\ell \leq n^c$.*

$\text{DTIME}^{\Sigma_i^{\text{P}}}(s^{\Theta(1)})/s^{\Theta(1)}$. With this view, we can also differentiate between circuits that make adaptive calls to their oracle, and circuits that make nonadaptive calls to their oracle, and the latter are called *parallel circuits*.

⁹Another advantage of constructions based on this type of assumptions is that any E-complete problem (and such problems are known) can be used to implement the constructions, and the correctness of the constructions (with that specific choice) follows from the assumption. We do not have to consider and evaluate various different candidate functions for the hardness assumption.

Again, the polynomial running time of G is allowed to depend on c, e , and that the requirement that $k \geq n^e$ can be removed, adding the requirement that $\epsilon \geq k^{-c}$. Following the discussion in previous sections, we point out that in this setup, nb-PRGs with this seed length were only known under the assumption that there are one-way permutations with hardness $2^{\Omega(n)}$, and this is known not to hold for some candidate one-way permutations. Dubrov and Ishai [DI06] were able to achieve nb-PRGs in this setup under some of the other assumptions discussed in Section 1.1. However, these nb-PRGs achieve seed length $\geq (k/\epsilon)^2$, and require an additional assumption: that it is feasible to compute the quantity $p(z) = \Pr[C(U_n) = z]$ given $z \in \{0, 1\}^\ell$.

Alternative hardness assumptions for our theorems. We have chosen to state a hardness assumption in Theorems 1.5 and 1.6. However, in the technical construction will rely on the assumption that there are (boolean) PRGs in a certain setup. The precise assumption is stated below.

Assumption 1.7. *For every constant $c > 1$ there exists an (n^{-c}) -PRG $G' : \{0, 1\}^n \rightarrow \{0, 1\}^{n^c}$ for nondeterministic NP-circuits of size n^c , and G' is computable in time $p(n)$ where p is a polynomial that depends on c .*

This assumption is known to follow from the assumption stated in Theorems 1.5 and 1.6 by the following argument: By the “downward collapse theorem” of Shaltiel and Umans [SU06] the assumption that E is hard for exponential size nondeterministic NP circuits implies that E is hard for exponential size Σ_2 -circuits that make non-adaptive calls to their oracle. By [KvM02, IW97] the latter assumption implies a PRG G' with the required properties.

In fact, the PRG G' obtained in [IW97, KvM02] has better parameters than we asked for. It has “exponential stretch” and stretches $O(c \cdot \log n)$ bits into n^c bits.

Remark 1.8. *Using this stronger version of assumption 1.7 allows us to prove versions of Theorem 1.5 (respectively Theorem 1.6) in which the assumption that $\ell \geq n^e$ (respectively $k \geq n^e$) and then the seed length is $O(\ell + \log n)$ (respectively $O(k/\epsilon + \log n)$). This implication follows by the same proofs, and is explained in Remark 3.3.*

Indeed, the assumption stated in Theorems 1.5 and 1.6 is stronger than what is actually needed (as we only need the PRG of assumption 1.7 to have polynomial stretch). We can therefore use a hardness assumption against *polynomial size* Σ_2 -circuits (rather than circuits of almost exponential size). However, as we are shooting for a PRG which is computable in polynomial time (rather than exponential time), we cannot afford “worst-case to average-case hardness amplification” (which takes exponential time and is known not to be possible in polynomial time by black-box techniques [Vio05]). Instead, we can use Yao’s XOR-Lemma (see [GNW95] for a survey) which does not blow up the running time. The price of this modification is that instead of a “worst-case hardness assumption” we require a “mildly average-case hardness assumption”. Summing up, we get that Assumption 1.7 (and therefore the conclusion of the two main theorems) also follow from the following assumption:

Assumption 1.9. *For every constant $c > 1$ there exists a problem L in P such that for every sufficiently large n , every size n^c Σ_2 -circuit fails to compute the characteristic function of L on at least a $1/n$ -fraction of the inputs of length n .*

This assumption gives assumption 1.7 by using Yao’s XOR-Lemma on (the characteristic function of) L , and then plugging the amplified function to the Nisan-Wigderson generator. We remark that the same assumption is also suggested (and relied on) in a construction of Goldreich and Wigderson [GW02] in a different context.

1.2 New constructions of nb-PRGs for constant-depth circuits

In this section we discuss *unconditional constructions* of nb-PRGs against poly-size circuits that have constant-depth. There are many surprising instances where interesting distributions can be sampled by procedures with very low computational complexity see e.g., [AIK06] and following work. The reader is referred to [Vio12] for examples of low complexity sampling procedures.

Dubrov and Ishai [DI06] considered the following setup: Let c, d, e be positive constants and consider a sampling procedure $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ that is a circuit of size n^c and depth d which outputs $\ell = n^e$ bits. Note that this is the setup considered in the previous section, with the additional restriction that circuits have constant depth. Dubrov and Ishai gave the following construction of nb-PRG.

Theorem 1.10. [DI06] *Let c, d, e be positive constants. For every constant $\delta > 0$ there is an ϵ -nb-PRG $G : \{0, 1\}^r \rightarrow \{0, 1\}^n$ for circuits of size n^c , depth d and output length $\ell = n^e$. Furthermore, $r = \ell^{2+\delta}$, $\epsilon = n^{-\omega(1)}$ and G is computable in time $\text{poly}(n)$.*

The construction of Dubrov and Ishai uses the Nisan-Wigderson generator [Nis91, NW94] with the parity function, and is based on showing that the parity function cannot be compressed by small constant-depth circuits. However, the aforementioned bottleneck in the Nisan-Wigderson generator causes the seed length r to be larger than ℓ^2 whereas the obvious lower bound is (once again) ℓ . Our first result is an nb-PRG which achieves seed length $\tilde{O}(\ell)$.

Theorem 1.11 (nb-PRGs with short seed). *Let c, d, e be positive constants. There is an ϵ -nb-PRG $G : \{0, 1\}^r \rightarrow \{0, 1\}^n$ for circuits of size n^c , depth d and output length $\ell = n^e$. Furthermore, $r = O(\ell \cdot \log^{a_d} n)$ (where $a_d = d + O(1)$ is a constant that depends only on d), $\epsilon = n^{-\omega(1)}$ and G is computable in time $\text{poly}(n)$.*

Our construction gives a general result for arbitrary size, depth, output length and error, and Theorem 1.11 above is a special case of a more general theorem that is stated and proven in Section 5. Our proof is based on adapting a recent boolean PRG construction of Trevisan and Xue [TX12] (which avoids the Nisan-Wigderson generator) to the case on nb-PRGs.

A drawback of both Theorem 1.10 and Theorem 1.11 is that the pseudorandom generator G is guaranteed to run in polynomial time, but is not necessarily implementable by a poly-size circuit with constant depth. This means that if we use G to sample the output distribution of some sampling procedure $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ that is a poly-size constant depth circuit, then the resulting sampling procedure $C'(\cdot) = C(G(\cdot))$ is implementable in poly-time but not necessarily in constant depth. Our next result gives an nb-PRG which is implementable by a uniform family of poly-size constant depth circuits. This PRG achieves seed length roughly $\ell^{1+\alpha}$ (where $\alpha > 0$ is an arbitrary small constant). This is worse than the seed length of Theorem 1.11, but still better than that achieved by Dubrov and Ishai in Theorem 1.10.

Theorem 1.12 (nb-PRGs implementable in constant depth). *Let c, d, e be integer constants. For every $\alpha > 0$ there is an ϵ -nb-PRG $G : \{0, 1\}^r \rightarrow \{0, 1\}^n$ for circuits of size n^c , depth d and output*

length $\ell = n^e$. Furthermore, $r = O(\ell^{1+\alpha} \cdot \log^{a_d} n)$ (where $a_d = O(1/\alpha + d)$ is a constant that depends only on d, α), $\epsilon = n^{-\omega(1)}$ and G is computable by a family of uniform circuits of size $\text{poly}(n, c \log n)$ and depth $O(1/\alpha)$ (where the constant hidden in the $O(\cdot)$ is universal, and the depth does not depend on c, d).¹⁰

Once again, a more general theorem with more parameters is stated and proven in Section 5. We obtain this result, by giving an implementation of a variant of the nb-PRG of Theorem 1.11 by constant depth circuits. For this, we use an approach of Viola [Vio12] to show that k -wise independent distributions can be sampled with competitive seed length by constant depth circuits.

We stress that the nb-PRG of Dubrov and Ishai from Theorem 1.10 is *not* computable by small constant depth circuits. This is because it computes the parity function on inputs of length $\geq \ell$.

2 Technique

We aim to reduce the task of constructing nb-PRGs to that of constructing standard PRGs. Our first attempt is the following trivial observation: An $(\epsilon/2^\ell)$ -PRG for size $s + O(\ell)$ circuits is also an ϵ -nb-PRG for size s circuits. This follows because if $C(U_n)$ and $C(G(U_r))$ are not ϵ -close, then there exists $z \in \{0, 1\}^\ell$ such that the probability assigned to z by the two distributions differ by $\epsilon/2^\ell$. This means that a boolean circuit $C'(x)$ which outputs 1 iff $C(x) = z$ is not $(\epsilon/2^\ell)$ -fooled by G .

Using the Nisan-Wigderson generator, we can construct such PRGs given a poly-time computable function $f : \{0, 1\}^{O(\ell)} \rightarrow \{0, 1\}$ on which every circuit of size $s^{O(1)}$ errs on at least a $(1/2 - 1/2^{O(\ell)})$ -fraction of inputs. (Because of the aforementioned inefficiency of the Nisan-Wigderson PRG, this approach cannot give seed smaller than $\Omega(\ell^2)$). However, “existing techniques” cannot produce such a function f from the assumption that E is hard for exponential size circuits (or even from the weaker assumption: E is mildly average-case hard for exponential size circuits) [SV10, AS14]. Trevisan and Vadhan [TV00] suggested that these limitations can be bypassed if we assume that E is hard for exponential size nondeterministic circuits (or more generally Σ_i -circuits for some $i \geq 1$). They were able to start from such assumptions and obtain their goal (which is extractors for samplable distributions). They were not, however, able to construct average-case hard functions (or PRGs) with very low error.

Inspired by the success of Trevisan and Vadhan, we aim to construct nb-PRGs starting from a worst-case hardness assumption for Σ_i -circuits (for some small i). In order to achieve this, we would like a reduction, showing that a circuit $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ that is not ϵ -fooled by some candidate PRG can be transformed into a boolean test that is not ϵ' -fooled by the PRG. Our boolean test may be complex (and allowed to use nondeterminism) but we require that ϵ' is not much smaller than ϵ . This intuition is captured in the following lemma (which can be seen as a more careful version of our first attempt).

Lemma 2.1. *There exists a constant $B_1 > 0$ such that for every constant $B_2 > 0$ the following holds: Let R and V be distributions over $\{0, 1\}^\ell$ that are not ℓ^{-B_2} -close (the reader should think of $R = C(U_n)$ and $V = C(G(U_r))$). There exist a $z \in \{0, 1\}^\ell$ and $i \in [\ell]$ such that*

$$|\Pr[R_i = z_i | R_{1,\dots,i-1} = z_{1,\dots,i-1}] - \Pr[V_i = z_i | V_{1,\dots,i-1} = z_{1,\dots,i-1}]| > \ell^{-(B_2+5)},$$

¹⁰Note that this nb-PRG is “cryptographic” in the sense that the PRG is implementable by a uniform family of circuits of size $n^{c'}$ and depth d' , for some constants c', d' and is able to fool circuits of depth d and size n^c for larger d, c for every sufficiently large n .

and $\Pr[R_{1,\dots,i-1} = z_{1,\dots,i-1}] \geq 2^{-B_1 \cdot \ell}$.

Lemma 2.1 follows by iteratively using the following easy lemma.

Lemma 2.2. *Let $SD(P, Q)$ denote the statistical distance between P and Q . Let R, V be two distributions over some finite set S , such that $SD(R; V) \geq \alpha$. Let $\rho, \nu \geq 0$ and let $f : S \rightarrow \{0, 1\}$ be a function such that $p = \Pr[f(R) = 0] \leq \frac{1}{2}$ then at least one of the following holds:*

- $|\Pr[f(R) = 1] - \Pr[f(V) = 1]| > \rho$.
- $SD((R|f(R) = 1); (V|f(V) = 1)) \geq (\alpha - \rho) \cdot (1 - \nu)$.
- $SD((R|f(R) = 0); (V|f(V) = 0)) \geq (\alpha - \rho) \cdot (1 + \nu/2p)$.

Indeed, with the choices above, the lemma says that if R and V are statistically far, then either the boolean function $f(x) = x_1$ distinguishes them, or else, we can condition on the value of the first bit and still have large statistical distance. Conditioning decreases ℓ by one, and so we make progress. Eventually we will find an index i that distinguishes R and V (conditioning on previous values).

Some care must be used in this argument, as we want the probability of the final event that we condition on to be $2^{-\Omega(\ell)}$. This is the reason that the statement of Lemma 2.2 states (in the third item) that if we condition on an event with probability p that may be very small then the statistical distance increases in a rate that is inversely proportional to p . The statistical distance cannot exceed one, and using that, we can argue that the probability of the final event that we condition on, is not too small. The precise argument (and in particular the proofs of Lemma 2.1 and Lemma 2.2) appear in Section 6.

2.1 Conditional tests

The next definition captures the kind of tests that distinguish $C(U_n)$ from $C(G(U_r))$ according to the Lemma 2.1.

Definition 2.3 (Conditional test [SU06]). *A conditional test is a pair (A, D) where $A : \{0, 1\}^n \rightarrow \{0, 1\}$ is called condition, and $D : \{0, 1\}^n \rightarrow \{0, 1\}$ is called distinguisher. We say that a conditional test (A, D) is ϵ -fooled by a distribution P over $\{0, 1\}^n$ if*

$$\left| \Pr_{X \leftarrow P} [D(X) = 1 | A(X) = 1] - \Pr_{X \leftarrow U_n} [D(X) = 1 | A(X) = 1] \right| \leq \epsilon$$

The density of a condition A is $\Pr_{X \leftarrow U_n} [A(X) = 1]$. The density of a conditional test (A, D) is the density of A , and we say that the test has size s if both A, D are circuits of size at most s .

With this terminology, Lemma 2.1 can be rephrased as follows:

Corollary 2.4. *There exists a constant $B_1 > 0$ such that for every constant $B_2 > 0$ the following holds: Let $G : \{0, 1\}^r \rightarrow \{0, 1\}^n$, and let $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ be a size s circuit. If C is not ℓ^{-B_2} -fooled by G then there exist a conditional test (A, D) of size $s + O(\ell)$ and density $\geq 2^{-B_1 \cdot \ell}$ which is not $\ell^{-(B_2+5)}$ -fooled by G .*

2.2 PRGs against conditional tests

In light of Corollary 2.4, we would like to construct a PRG that fools poly-size conditional tests. Shaltiel and Umans [SU06] constructed PRGs against conditional tests. However, the setup considered there is quite different. It is not required that the PRG is computable by a deterministic procedure, and the PRG is allowed to have access to an NP-oracle. Moreover, the PRG receives the condition A before producing its output. This allows the PRG to find “interesting” elements $x \in \{x : A(x) = 1\}$ using its NP oracle, and this ability is critically used by the PRG. There are also additional advantages to having an NP oracle, which we can’t use in our setup. On the other hand, we have an advantage over the setup of [SU06]. We are guaranteed that the density of A is roughly $2^{-\ell}$ and are shooting for seed length $O(\ell)$ (whereas in [SU06] one wants seed $O(\log n)$ regardless of the density).

We are not able to construct polynomial time PRGs against conditional tests in our setup. However, we are able to achieve the following weaker objects:

Definition 2.5 (cd-PRG). *A function $G : \{0, 1\}^{r_1+r_2} \rightarrow \{0, 1\}^n$ is an ϵ -cd-PRG for a class \mathcal{C} of conditional tests, if with probability $1 - \epsilon/2$ over choosing $s_1 \leftarrow U_{r_1}$, every conditional test (A, D) in \mathcal{C} is $\epsilon/2$ -fooled by $G_{s_1}(U_{r_2})$, where $G_{s_1} : \{0, 1\}^{r_2} \rightarrow \{0, 1\}^n$ is defined by $G_{s_1}(s_2) = G(s_1 \circ s_2)$. G is an ϵ -wcd-PRG if for every conditional test (A, D) in \mathcal{C} , with probability $1 - \epsilon/2$ over choosing $s_1 \leftarrow U_{r_1}$, (A, D) is $\epsilon/2$ -fooled by $G_{s_1}(U_{r_2})$.*

Note that a cd-PRG is in particular a wcd-PRG. Loosely speaking, in both notions, this definition allows the PRG to choose a uniform $s_1 \in \{0, 1\}^{r_1}$ which will not be affected by the condition A . Only the second part of the seed (namely, s_2) is affected by conditioning.¹¹

We are able to use the machinery developed by Shaltiel and Umans [SU06] (together with additional ideas) to construct cd-PRGs under the assumption that E is hard for exponential size nondeterministic NP-circuits, and wcd-PRGs under the weaker assumption that E is hard for exponential size nondeterministic circuits. We elaborate on this in Section 3.

It is not difficult to show that the stronger notion of cd-PRGs gives nb-PRGs. This follows by the next lemma.

Lemma 2.6 (cd-PRGs are nb-PRGs). *Let B be a constant and let $G : \{0, 1\}^{r_1+r_2} \rightarrow \{0, 1\}^n$ be an $(\ell^{-(B+5)}/2)$ -cd-PRG for conditional tests of size $s + O(\ell)$ and density at least $2^{-O(\ell)}$ then G is a ℓ^{-B} -nb-PRG for size s circuits $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$.*

Proof. Let $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ be a circuit of size s and assume that C is not ℓ^{-B} -fooled by G , meaning that $\text{SD}(C(G(U_r)), C(U_n)) > \ell^{-B}$. By an averaging argument, for an $\ell^{-B}/2$ -fraction of $s_1 \in \{0, 1\}^{r_1}$, C is not $(\ell^{-B}/2)$ -fooled by G_{s_1} , and call such s_1 *useful*. By Corollary 2.1 for every useful s_1 , there exists a conditional test (A_{s_1}, D_{s_1}) of size $s + O(\ell)$ and density $2^{-O(\ell)}$ which is not $(\ell^{-(B+5)}/2)$ -fooled by G_{s_1} . \square

We remark that the fact that G_{s_1} fools *all* circuits $s + O(\ell)$ is an overkill for the argument. However, we do need G_{s_1} to fool many tests simultaneously, and this is why the argument does not work with wcd-PRGs.

¹¹For perspective, let us consider an analogous definition to the standard setup of PRGs (or even nb-PRGs): Let \mathcal{C} be a class of functions $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$. If for every test in the class \mathcal{C} , with probability $1 - \epsilon/2$, over $s_1 \leftarrow U_{r_1}$, $G_{s_1}(U_{r_2})$ $\epsilon/2$ -fools the test, then G is an ϵ -nb-PRG. Thus, for the more standard notion of ϵ -PRGs (or even ϵ -nb-PRGs) the modifications made in Definition 2.5 are immaterial and this is why this notion is not usually defined in these setups.

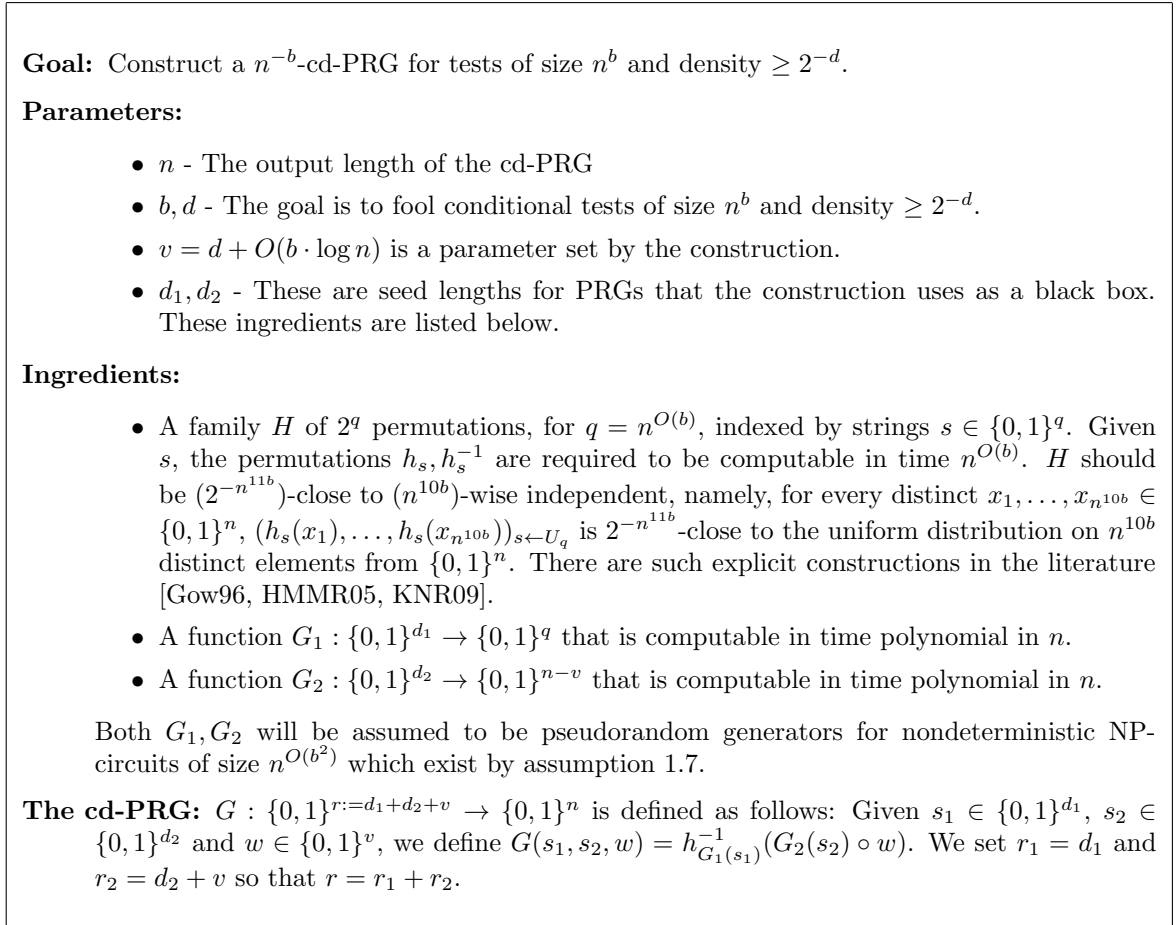
2.3 Organization of this paper

In Section 3 we give our main construction of cd-PRGs, prove its correctness, and derive the proof of Theorem 1.5. In Section 4 we show how to extend the nb-PRG to the case that the entropy of $C(U_n)$ is small, and prove Theorem 1.6. In Section 5 we give an unconditional constructions of nb-PRGs for poly-size constant depth circuits, and prove Theorems 1.11 and 1.12. In Section 6 we prove lemmas 2.2 and 2.1.

3 A construction of cd-PRGs

We now show that Assumption 1.7 implies cd-PRGs. The construction is specified in Figure 1. The intuition for the construction builds on ideas of Shaltiel and Umans [SU06] (together with additional ideas) and we give a high level intuition in the next paragraph.

Figure 1: A cd-PRG



Intuition for the construction. Recall that we are aiming to construct a cd-PRG for conditional tests with density at least 2^{-d} . The first r_1 bits of the seed will be used to select a seed

s for a poly(n)-wise independent permutation $h_s : \{0, 1\}^n \rightarrow \{0, 1\}^n$. This costs $r_1 > n$ random bits (that we cannot afford) and we will derandomize this choice using a PRG G_1 for nondeterministic NP-circuits. That is, we use a short seed s_1 to sample a permutation $h_{G_1(s_1)}$. For the sake of simplicity of this informal explanation let us pretend that h is a poly(n)-wise independent permutation. In the actual proof we will argue that the derandomization of h using G_1 still allows the argument below.

Every relevant condition circuit A has density 2^{-d} , meaning that the set $\{x : A(x) = 1\}$ is of size at least 2^{n-d} . For simplicity, let us pretend that the size is exactly 2^{n-d} . Let v be a parameter and let $h' : \{0, 1\}^n \rightarrow \{0, 1\}^{n-v}$ denote the version of h in which we truncate v bits of the output of h . Intuitively, h' is a very good hash function and so if we set v to be slightly larger than d (say $v = d + O(b \log n)$) then w.h.p. h' will be a good hash function for all relevant circuits. By good, we mean that for every $z \in \{0, 1\}^{n-v}$ the number of preimages of z that land in $\{x : A(x) = 1\}$ is very close to the expected number of 2^{v-d} . (The parameters are chosen so that this indeed holds for a random $n^{O(b)}$ -wise independent permutation, using a Chernoff bound for independent permutations (that we prove in Section 3.2) and a union bound over all size n^b circuits.) For the sake of this informal explanation, let us oversimplify, and pretend that this holds even for $v = d$ and that the hash function h' is one-to-one over $\{x : A(x) = 1\}$ for every relevant circuit A . We will continue our informal explanation under this unjustified (and false) assumption.

At this point, we used our seed s_1 to choose a function h , and the definition of cd-PRGs requires that we need to show that with high probability (over s_1), we can construct a PRG $G_{s_1}(s_2)$ that fools size n^b conditional tests of density 2^{-d} . We use a short seed s_2 and apply a PRG G_2 against NP-circuits to generate a pseudorandom string $G_2(s_2)$ of length $n - v$ (recall that we have such a PRG as an ingredient). For each such pseudorandom string $z = G_2(s_2)$, its preimage in $\{x : A(x) = 1\}$ under h' is unique, and can be obtained by $h^{-1}(G_2(s_2) \circ w)$ for some unique $w \in \{0, 1\}^v$. In other words, the distribution $R = h^{-1}(G_2(s_2) \circ w)$, where s_2 is a uniform seed of G_2 , and w is chosen uniformly from $\{0, 1\}^v$, has the property that $(R|A(R) = 1)$ is a bijection of the pseudorandom strings generated by G_2 . Note that an NP-circuit can compute this bijection, and as G_2 fools such circuit, we obtain a cd-PRG.

Main technical theorem. We now prove that the construction yields cd-PRGs.

Theorem 3.1. *There exist a constant c such that for every constant b the following holds: Let $v = d + c \cdot b \cdot \log n$ and $\epsilon \geq n^{-b}$. If G_1, G_2 are $(\epsilon/100)$ -PRGs for nondeterministic NP circuit of size $n^{b^2 \cdot c}$ then G is an ϵ -cd-PRG for conditional tests of size n^b and density $\geq 2^{-d}$.*

Note that for every constant $\delta > 0$, Assumption 1.7 guarantees the existence of G_1, G_2 with $d_1 = d_2 = n^\delta$ that can be computed in time poly(n) and have error n^{-2b} , giving that:

Corollary 3.2. *If Assumption 1.7 holds then for every constants $b \geq 1, \delta > 0$ and parameter d , there exists a poly(n)-time computable (n^{-b}) -cd-PRG $G : \{0, 1\}^{d+n^\delta+O(b \log n)} \rightarrow \{0, 1\}^n$ for conditional tests of size n^b and density $\geq 2^{-d}$.*

Theorem 1.5 follows from Lemma 2.6, Corollary 3.2 and the discussion in Section 1.1.1 showing that Assumption 1.7 follows from the assumption in Theorem 1.5.

Remark 3.3. *As noted earlier, the assumption that E is hard for exponential size nondeterministic NP-circuits seems stronger than Assumption 1.7, and under this assumption, we can construct PRGs with exponential stretch. This means that in Corollary 3.2, we can replace n^δ with $O(b \cdot \log n)$. This in turn allows us to reduce the seed length of the final nb-PRG to $O(\ell + \log n)$ even for $\ell = n^{o(1)}$.*

3.1 Analysis of the construction

We now prove Theorem 3.1. In the remainder of the section we are assuming that the conditions of Theorem 3.1 hold. The seed s_1 is used to pick a permutation $h_{G(s_1)} : \{0, 1\}^n \rightarrow \{0, 1\}^n$. We want this permutation to be good in the following respect:

Definition 3.4 (Splitting function). *Given a function $h : \{0, 1\}^n \rightarrow \{0, 1\}^n$, let $h' : \{0, 1\}^n \rightarrow \{0, 1\}^{n-v}$ be the function obtained by truncating the last v output bits of h . Let $\delta > 0$. A function $h : \{0, 1\}^n \rightarrow \{0, 1\}^n$ is δ -splitting for $A : \{0, 1\}^n \rightarrow \{0, 1\}$ if for every $y \in \{0, 1\}^{n-v}$, the quantities $a_y := |\{x : A(x) = 1 \wedge h'(x) = y\}|$ and $a := |\{x : A(x) = 1\}|$, satisfy $a_y \leq (1 + \delta) \cdot a \cdot 2^{-(n-v)}$.*

We set $\delta = n^{-2b}$ and will show that a poly(n)-wise independent permutation is δ -splitting for a condition A with high probability. The full proof (which relies on a Chernoff bound for t -wise independent permutations) appears in Section 3.2.

Lemma 3.5. *Let $A : \{0, 1\}^n \rightarrow \{0, 1\}$ be a condition with density $\geq 2^{-v+10\log(n/\delta)+2}$. The probability over $s \leftarrow U_q$ that h_s is not $(\delta/4)$ -splitting for A is at most $2^{-n^{5b}}$.*

By a union bound over all circuits of size n^b we get that:

Corollary 3.6. *The probability over $s \leftarrow U_q$ that h_s is not $(\delta/4)$ -splitting for all circuits A of size n^b with density $\geq 2^{-v+10\log(n/\delta)+2}$ is at most 2^{-n} .*

We can achieve a similar result in the experiment $s \leftarrow G_1(U_{d_1})$ rather than $s \leftarrow U_q$.

Lemma 3.7. *The probability over $s_1 \leftarrow U_{d_1}$ that $h_{G_1(s_1)}$ is not δ -splitting for all circuits A of size n^b with density $\geq 2^{-v+10\log(n/\delta)}$ is at most $2^{-n} + \epsilon/100 \leq \epsilon/50$.*

Lemma 3.7 follows from noticing that given an $s \in \{0, 1\}^q$, The test $T(s)$ which checks whether there exists a condition A with density $\geq 2^{-v+10\log(n/\delta)}$ such that h_s is not δ -splitting for A , can be implemented by a size $n^{O(b^2)}$ nondeterministic NP-circuit. We have that G_1 fools such tests, which means that the probabilities in the experiments $s \leftarrow G_1(U_{d_1})$ and $s \leftarrow U_q$ are close.

To implement the test $T(s)$ note that by ‘‘approximate counting of NP witnesses’’ [Sto83, JVV86, BGP00], given a condition A , an NP-circuit can check whether the density is at least $2^{-v+10\log(n/\delta)}$, and compute very good approximations to the quantities a and a_y . This means that the test $T(s)$ can be expressed as: ‘‘does there exist an A and y such that A has sufficiently large density and $a_y/a > (1 + \delta) \cdot 2^{-(n-v)}$ ’’. This test can be implemented by a nondeterministic NP-circuit. A proof is given in Section 3.2.¹²

Finally, we show that if a good s_1 (namely, one for which $h_{G(s_1)}$ is δ -splitting) is chosen. Then the distribution $G(s_1, U_{r_2}) = h_{G_1(s_1)}^{-1}(G_2(U_{d_2}) \circ U_v)$ $\epsilon/2$ -fools every relevant conditional test (A, D) .

Lemma 3.8. *Let $h : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a δ -splitting permutation, and (A, D) be a conditional test of size n^b and density $\geq 2^{-v+10\log(n/\delta)}$. Then $h^{-1}(G_2(U_{d_2}) \circ U_v)$ $(O(\delta) + \epsilon/100)$ -fools (A, D) .*

¹²This is the place where nondeterministic NP-circuits come up. We remark that by the AM protocol of Goldwasser and Sipser [GS86], a nondeterministic circuit can check whether the number of inputs accepted by a given circuit A is larger than some constant quantity. If we are shooting to construct wcd-PRGs (rather than cd-PRGs), then this observation (together with some small modifications in the proofs above) leads to an implementation of $T(s)$ by a nondeterministic circuit. This enables us to relax Assumption 1.7 and replace nondeterministic NP-circuits with nondeterministic circuits.

This concludes the proof of Theorem 3.1. The proof of Lemma 3.8 appears in Section 3.2, and is based on a similar argument of Shaltiel and Umans [SU06].

Remark 3.9 (nondeterministic conditions and distinguishers). *We now make an observation that will be helpful for the proof of Theorem 1.6: The proof of Theorem 3.1 follows just the same if we allow conditions A to be nondeterministic circuits rather than deterministic circuits. This is because the only properties of A used, is that an NP-circuit can approximate the size of sets of the form $\{x : A(x) = 1 \wedge B(x) = 1\}$, where B is some deterministic circuit. This holds also for nondeterministic circuits A .*

Another observation that will be useful for proving Theorem 1.6 is that G of Corollary 3.2 fools all nondeterministic circuits C of size n^b . This follows as for fixed s_1 , G is a $\text{poly}(n)$ -size permutation of the distribution $G_2(U_{d_2}) \circ U_v$ which fools nondeterministic circuits of size $n^{O(b)}$.

3.2 Proofs of technical lemmas used in the proof of Theorem 3.1

We will make use of the following theorem, that immediately follows from the work of [Sto83, JVV86, BGP00] on approximate counting of NP witnesses (see also [KvM02, SU06] for a discussion).

Theorem 3.10. *Let $s \geq n$ and $\epsilon > 0$ be parameters. There is an NP-circuit of size $\text{poly}(s, 1/\epsilon)$ which given a circuit A of size s on n bits, outputs an integer t which is a $(1 - \epsilon)$ -approximation of $|A^{-1}(1)|$, meaning that $(1 - \epsilon) \cdot |A^{-1}(1)| \leq t \leq |A^{-1}(1)|$. Moreover, the same holds even if the circuit A is nondeterministic, and the queries made by the NP-circuit are nonadaptive.*

3.2.1 Proof of Lemma 3.5

Let $t = n^{10b}$ measure the independence of the family. We need a Chernoff-style bound for the family of functions h'_{U_q} . Such a bound will enable us to show that for every y , the probability that h' hashes too many elements from $\{x : A(x) = 1\}$ to y is exponentially small, so that we can do a union bound over all y .

This is a less standard setup, as h' is not t -wise independent, because there is an error of $2^{-n^{11b}}$ and because h is a permutation (and not a function). We are unaware of a Chernoff bound for the parameters we need in this setup (although [DHRS07] has a bound which is quite close). We therefore prove a Chernoff bound here. In order to avoid having many parameters, we prove the bound for the specific parameters that we need.

The main observation is that if h' was a t -wise independent function, then the bound in the next lemma would hold, and it turns out that this bound is sufficient to obtain Chernoff-style behavior for a family of hash functions.

Lemma 3.11. *Let $\mu = 2^{-(n-v) \cdot t}$. For every $y \in \{0, 1\}^{n-v}$, and every distinct $x_1, \dots, x_t \in \{0, 1\}^n$,*

$$\Pr_{s \leftarrow U_q} [h'_s(x_1) = h'_s(x_2) = \dots = h'_s(x_t) = y] \leq 2 \cdot \mu$$

Proof. If h' were a t -wise independent function, then the probability is μ . If h' were a t -wise independent permutation then the probability is $\leq \mu$. (This is because although in this setup the events $(\{h'(x_i) = y\})_{i \in [t]}$ are not independent, they have negative correlation). Since the family H has error $2^{-n^{11b}} \leq \mu$, we need to add up the error, and the total is at most 2μ . \square

Let $A : \{0, 1\}^n \rightarrow \{0, 1\}$ be some function with density $\geq 2^{-v+10 \log(n/\delta)+2}$, let $S = \{x : A(x) = 1\}$. For every $y \in \{0, 1\}^{n-v}$, let R_y be the random variable counting the number of $x \in S$ such that $h'_s(x) = y$ in the experiment $s \leftarrow U_q$. Let $E = \frac{|S|}{2^{n-v}} \geq 2^{10 \log(n/\delta)} \geq n^{20b}$ be the expectation of R_y . We now bound the probability that R_y is large.

Lemma 3.12. *For every $y \in \{0, 1\}^{n-v}$, $\Pr_{s \leftarrow U_q}[R_y \geq (1 + (\delta/4)) \cdot E] \leq 2^{-n^{6b}}$*

Proof. Consider a matrix where the rows are distinct tuples x_1, \dots, x_t such that for every $i \in [t]$, $x_i \in S$, and the columns are $s \in \{0, 1\}^q$. The entry, at position $((x_1, \dots, x_t); s)$ is one if $h'_s(x_1) = h'_s(x_2) = \dots = h'_s(x_t) = y$, and zero otherwise. By the previous lemma for every row (x_1, \dots, x_t) , there are at most a 2μ -fraction of ones. Let ρ be the probability we are trying to bound, namely the fraction of columns s , in which at least $((1 + (\delta/4)) \cdot E)$ of the $x \in S$ are hashed to y . This means that for every possible distinct t -tuple of these x 's, the entry in the matrix is one. Thus, in the column of s there are at least $\binom{E \cdot (1 + (\delta/4))}{t}$ ones, out of all $\binom{|S|}{t}$ possible tuples. It follows that

$$\rho \cdot \frac{\binom{E \cdot (1 + (\delta/4))}{t}}{\binom{|S|}{t}} \leq 2\mu.$$

We conclude that:

$$\rho \leq 2\mu \cdot \frac{\binom{|S|}{t}}{\binom{E \cdot (1 + (\delta/4))}{t}} \leq 2 \cdot \left(\frac{1}{2^{n-v}}\right)^t \left(\frac{|S|}{E \cdot (1 + \delta/4) - t}\right)^t$$

We have that $t = n^{10b}$, $\delta = n^{-2b}$ and $E \geq n^{20b}$. Therefore, $t \leq \delta \cdot E/8$, and therefore $E \cdot (1 + (\delta/4)) - t \geq 2^v \cdot (1 + (\delta/8))$, and:

$$\rho \leq \left(\frac{1}{1 + \delta/8}\right)^t \leq e^{-\Omega(\delta \cdot t/8)} \leq 2^{-n^{6b}}$$

□

Lemma 3.5 now follows by a union bound over all $y \in \{0, 1\}^{n-v}$.

3.2.2 Proof of Lemma 3.7

Let $d = v + 10 \log(n/\delta)$. We consider the following nondeterministic NP-circuit T : T receives an input $s \in \{0, 1\}^q$ and makes nondeterministic guesses. It guesses a circuit A of size n^b and $y \in \{0, 1\}^{n-v}$. Let $\rho = \delta/10$. The circuit T computes a $1 - \rho$ approximation a' to $a = |\{x : A(x) = 1\}|$, and another $1 - \rho$ approximation a'_y to $a_y = \{x : A(x) = 1, h'_s(x) = y\}$. It accepts if $a' \geq 2^{(n-(d+1))}$ and $\frac{a'_y}{a'} \geq (1 + \delta/2) \cdot 2^{-(n-v)}$. Otherwise, it rejects. Note that T is an NP-circuit of size $n^{O(b^2)}$. It follows that:

1. If T accepts s , then there exists an $A : \{0, 1\}^n \rightarrow \{0, 1\}$ of size n^b with density $\geq 2^{-(d+2)}$ such that h_s is not $(\delta/4)$ -splitting for A .
2. If h_s is δ -splitting for all circuits $A : \{0, 1\}^n \rightarrow \{0, 1\}$ of size n^b and density 2^{-d} then T accepts s .

Both facts trivially follow from the quality of the approximation. By Corollary 3.6 we have that the probability over $s \leftarrow U_q$ that h_s is not $(\delta/4)$ -splitting for all circuits of size n^b and density $\geq 2^{-(d+2)}$ is less than 2^{-n} . Therefore, $\Pr[T(U_n) = 1] \leq 2^{-n}$. It follows that $\Pr[T(G_1(U_{s_1})) = 1] \leq 2^{-n} + \epsilon/100$, and the Lemma follows.

3.2.3 Proof of Lemma 3.8

Proof. (of Lemma 3.8) We consider the following sets:

- $C = \{x : A(x) = 1\}$. We denote its size by a .
- For every $y \in \{0, 1\}^{n-v}$, $L_y = \{x : A(x) = 1, D(x) = 1, h'(x) = y\}$. We denote its size by ℓ_y .
- For every $y \in \{0, 1\}^{n-v}$, $C_y = \{x : A(x) = 1, h'(x) = y\}$. We denote its size by a_y .
- Let $s = \sum_{s_2 \in \{0,1\}^{d_2}} a_{G_2(s_2)} \leq 2^{d_2} \cdot b$.

Let $b = (1 + \delta) \cdot a \cdot 2^{-(n-v)}$, and note that for every $y \in \{0, 1\}^{n-v}$, $\ell_y \leq a_y \leq (1 + \delta) \cdot a \cdot 2^{n-v} = b$ where the last inequality follows because h is δ -splitting. We consider an NP-circuit $T(y)$ which operates as follows: Let $\rho = \delta = n^{-2b}$ and compute a $(1 - \rho)$ approximation of a' of a , and ℓ'_y of ℓ_y , and output one with probability:

$$p'_y = \frac{\ell'_y \cdot 2^{n-v} \cdot (1 - \rho)}{a' \cdot (1 + \delta)} \leq \frac{\ell_y \cdot 2^{n-v}}{a \cdot (1 + \delta)} = \frac{\ell_y}{b} \leq 1.$$

Note that T is an NP-circuit of size $n^{O(b^2)}$. We define $p_y = \frac{\ell_y}{b}$ and note that as we are using $(1 - \rho)$ approximations, $|p'_y - p_y| \leq 4\rho$. We consider the experiment $R \leftarrow U_n$. We have that:

$$\begin{aligned} \Pr[D(R) = 1 | A(R) = 1] &= \frac{\sum_{y \in \{0,1\}^{n-v}} \ell_y}{a} = (1 + \delta) \cdot 2^{-(n-v)} \cdot \sum_{y \in \{0,1\}^{n-v}} \frac{\ell_y}{b} \\ &= (1 + \delta) \cdot 2^{-(n-v)} \cdot \sum_{y \in \{0,1\}^{n-v}} p_y \leq \delta + \sum_{y \in \{0,1\}^{n-v}} 2^{-(n-v)} \cdot p_y \leq (\delta + 4\rho) + \sum_{y \in \{0,1\}^{n-v}} 2^{-(n-v)} \cdot p'_y \\ &= (\delta + 4\rho) + \Pr[T(U_{n-v}) = 1]. \end{aligned}$$

Note that $s \leq 2^{d_2} \cdot b$. We consider the experiment, $R' \leftarrow U_{d_2}$. We have that:

$$\begin{aligned} \Pr[D(G(R')) = 1 | A(G(R')) = 1] &= \frac{\sum_{s_2 \in \{0,1\}^{d_2}} \ell_{G_2(s_2)}}{s} \geq 2^{-d_2} \cdot \sum_{s_2 \in \{0,1\}^{d_2}} \frac{\ell_{G_2(s_2)}}{b} \\ &= 2^{-d_2} \cdot \sum_{s_2 \in \{0,1\}^{d_2}} p_{G_2(s_2)} \geq \sum_{s_2 \in \{0,1\}^{d_2}} 2^{-d_2} \cdot p'_{G_2(s_2)} - 4\rho \\ &\geq \Pr[T(G(U_{d_2})) = 1] - 4\rho \end{aligned}$$

Assume (for the purpose of contradiction) that:

$$|\Pr[D(R) = 1 | A(R) = 1] - \Pr[D(G(R')) | A(G(R')) = 1]| \geq \alpha$$

Without loss of generality we can assume that the inequality above is without the absolute value (as we can complement D if needed).¹³

¹³It is important to note that while the proof relies on the fact that the class of distinguishers is closed under complement, it does not require that the class of conditions is closed under complement. This is important, as we will later observe that the argument works even if A is a nondeterministic circuit.

We have that G $\epsilon/100$ -fools T , and therefore:

$$\begin{aligned} \Pr[T(U_{n-v}) = 1] - \Pr[T(G(U_{d_2})) = 1] &\geq \Pr[D(R) = 1 | A(R) = 1] - \Pr[D(G(R')) = 1 | A(G(R')) = 1] - (8\rho + \delta) \\ &\geq \alpha - (8\rho + \delta) \end{aligned}$$

which is a contradiction if $\alpha > \epsilon + 8\rho + \delta$. We conclude that $\alpha \leq \epsilon + 8\rho + \delta = \epsilon + O(\delta)$ as required. \square

4 nb-PRGs for sampling procedures with low entropy

In this section we prove Theorem 1.6. Our proof uses some ideas by Dubrov and Ishai [DI06]. We are shooting to construct an ϵ -nb-PRG for size n^c circuits $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ with $\ell \leq n^c$ and $H(C(U_n)) \leq k$.

A natural idea is to consider a circuit $C'(x) = h(C(x))$ where h is an explicit “suitable hash function”. For example, if we knew that the support of $C(U_n)$ is of size at most 2^t (for some parameter t) then using pairwise independent hash functions, it follows that there exists an $h : \{0, 1\}^n \rightarrow \{0, 1\}^{O(t)}$ such that the support of $C(U_n)$ is mapped in a one to one way. This implies that if C distinguishes U_n from $G(U_r)$ then C' also distinguishes with the same advantage. It follows, that an nb-PRG that fools C' also fools C , and as the output length of C' is $O(t)$, we can construct such PRGs with seed length $O(t)$.

We would like to extend this argument to general low-entropy distributions (which may have large support). A first step is the following observation (also used in [DI06]): Let $t = O(k/\epsilon)$ and set

$$S = \{x \in \{0, 1\}^n : \Pr[C(U_n) = C(x)] \geq 2^{-t}\}$$

then $\Pr_{x \leftarrow U_n}[x \in S] \geq 1 - \epsilon/2$. We can now use the hashing approach as above to construct $C'(x) = h(C(x))$ using a hash function that is one to one on S . However, we can no longer argue that if C is not fooled by G then C' is not fooled by G .

Instead, we will show that if C is not fooled by G then there is a conditional test with density roughly 2^{-t} that is not fooled by G . This is good enough as we have PRGs against such tests with seed length $O(t) = O(k/\epsilon)$. A key observation is that membership in S can essentially be decided by a poly-size nondeterministic circuit. This follows by the next theorem which follows from the AM protocol of Goldwasser and Sipser [GS86] for showing that an NP-set is large, and the fact that $\text{AM} \subseteq \text{NP}/\text{poly}$.

Theorem 4.1. [GS86] *Let $s \geq n$ be a parameter. There is a nondeterministic circuit of size $\text{poly}(s)$ which given a circuit A of size s on n bits, and an integer T : Accepts if $|A^{-1}(1)| \geq T$ and rejects if $|A^{-1}(1)| \leq T/100$.*

Recall that by Remark 3.9 we can use nondeterministic circuits as conditions in conditional tests, and this will be used in our proof. We now give the formal proof of Theorem 1.6.

Let $\epsilon' = \Omega(\epsilon^2/k)$ and let $G : \{0, 1\}^r \rightarrow \{0, 1\}^n$ be an ϵ' -cd-PRG for conditional tests of size $n^{O(c)}$ and density $d = O(k/\epsilon)$. Such a $\text{poly}(n)$ -time G follows from Assumption 1.7 by Corollary 3.2. Using the assumption that $k \geq n^e$, we have that $r = O(d) = O(k/\epsilon)$ as required. As noted earlier, G also fools conditional tests where the condition A is a size $n^{O(c)}$ nondeterministic circuit, and it also fools nondeterministic circuits of size $n^{O(c)}$. Assume (for the purpose of contradiction) that some size n^c circuit C with $H(C(U_n)) \leq k$ is not ϵ -fooled by G .

Lemma 4.2. *There exists a nondeterministic circuit $A : \{0, 1\}^n \rightarrow \{0, 1\}$ of size $n^{O(c)}$ and density $\geq 1 - \epsilon$, such that $|\{C(x) : A(x) = 1\}| \leq 2^{O(k/\epsilon)}$ and the distributions $R = (C(X)|A(X) = 1)_{X \leftarrow U_n}$, and $V = (C(G(Y))|A(C(G(Y))) = 1)_{Y \leftarrow U_r}$ are not $\epsilon/10$ -close.*

Proof. (of Lemma 4.2) Let $Y = \{z : \Pr[C(U_n) = z] \geq 2^{-10k/\epsilon}\}$ and $N = \{z : \Pr[C(U_n) = z] \leq 2^{-20k/\epsilon}\}$. By Theorem 4.1, there is a nondeterministic circuit $A : \{0, 1\}^n \rightarrow \{0, 1\}$ of size $n^{O(c)}$ which accepts every x such that $C(x) \in Y$ and rejects every x such that $C(x) \in N$.

We have that $H(C(U_n)) \leq k$. By a Markov argument it follows that $\Pr[C(U_n) \notin Y] \leq \epsilon/10$. Thus, the density of A is at least $1 - \epsilon/10$.

As remarked earlier, we have that our cd-PRG G also ϵ' -fools nondeterministic circuits of size $n^{O(c)}$. We have that $\epsilon' \leq \epsilon/10$ and therefore, $|\Pr[A(C(U_n)) = 1] - \Pr[A(C(G(U_r))) = 1]| \leq \epsilon/10$. We now apply Lemma 2.2 on $R = U_n$, $V = G(U_r)$ and $f = A$, setting $\alpha = \epsilon$, $\rho = \epsilon/10$, $\nu = 1/2$ and $p = \epsilon/10$, and note that we indeed meet the conditions of the lemma. We conclude that one of the possible three conclusions hold. We have already verified that the first one cannot hold. The third one also cannot hold because $(\alpha - \rho) \cdot (1 + \nu/2p) > (\epsilon/2) \cdot (2.5/\epsilon) \geq 1$. Therefore, the second conclusion holds and we have that $(R|A(R) = 1)$ and $(V|A(V) = 1)$ are not $\epsilon/4$ -close, as required. \square

Let S be the set of all outputs z of C for which there exist $x \in \{0, 1\}^n$ such that $A(x) = 1$, so that $A(x) = 1$ implies $C(x) \in S$. By the lemma S is of size $\leq 2^{ck/\epsilon}$ for some constant c . It is standard that with positive probability, picking a random function from a pairwise independent family of hash functions $h : \{0, 1\}^\ell \rightarrow \{0, 1\}^{2ck/\epsilon}$ gives a function h that is one to one on S , and such a function can be implemented by a poly-size circuit.

It follows that $R = (h(C(X))|A(X) = 1)_{X \leftarrow U_n}$, and $V = (h(C(G(Y)))|A(C(G(Y))) = 1)_{Y \leftarrow U_r}$ are not $\epsilon/10$ -close. We can now apply Lemma 2.1 on R and V (which are on $O(k/\epsilon)$ output bits) to obtain a conditional test (A', D') of size $n^{O(c)}$ that distinguishes between them with advantage $\frac{\epsilon/10}{O(k/\epsilon)} = \Omega(\epsilon^2/k) \geq \epsilon'$. Furthermore, A' has density $2^{-O(k/\epsilon)}$. This means that the conditional test (A'', D) where $A''(x) = A(x) \wedge A'(x)$ is a nondeterministic circuit of size $n^{O(c)}$ with density $\geq 2^{-O(k/\epsilon)-1}$ such that (A'', D) is not ϵ' -fooled by G . This is a contradiction, as G is an ϵ' -cd-PRG against size $n^{O(c)}$ conditional tests with this density.

5 nb-PRGs for poly-size constant depth circuits

In this section we prove the following theorem which generalizes Theorem 1.11 and Theorem 1.12.

Theorem 5.1. *Let $\ell \leq n < M$ be positive integers, and let $\epsilon \geq 2^{-n}$ be a parameter. There is a procedure $G : \{0, 1\}^r \rightarrow \{0, 1\}^n$ such that for every circuit $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ of size M and depth d , the distribution $C(G(U_r))$ is ϵ -close to $C(U_n)$, and it is possible to take:*

- $r = \ell \cdot O(\log M)^{d+7} \cdot \log^7(1/\epsilon)$ and then G can be computed in time $\text{poly}(n, \log^d M)$.
- $r = \ell^{1+\alpha} \cdot (\log M)^d \cdot (\log(M/\epsilon))^{O(1/\alpha)}$ for an arbitrary constant $\alpha > 0$, and then G can be computed by a uniform family of circuits of size $\text{poly}(n, \log^d M)$ and depth $O(1/\alpha)$.

We remark that the procedure G needs to know the parameters ℓ, n, d, M and ϵ . However, the running time/size of G is a fixed polynomial in $(n, \log^d M)$, and the depth depends of G depends only on α .

5.1 Adapting the pseudorandom generator of [TX12]

There does not seem to be a general method to transform Boolean PRGs for constant depth circuits into nb-PRGs for constant depth circuits. Indeed, the nb-PRG of Dubrov and Ishai relies (amongst other things) on specific properties of the proof of correctness of the Nisan-Wigderson generator. In order to prove Theorem 1.11 we will exploit specific properties of the recent Boolean PRG construction of Trevisan and Xue [TX12]. We now explain the properties of the Boolean PRG of [TX12] which allow us to adapt it to the non-Boolean case. For this purpose we require the following notation on “restrictions”.

Definition 5.2 (Restrictions and Selections). *An n -bit selection is an n -bit string α over the alphabet $\{*, \square\}$. Intuitively, $*$'s stand for unrestricted bits and \square 's stand for restricted bits. Given an n -bit selection α and strings $x, y \in \{0, 1\}^n$ (which in this context are referred to as “assignment” and “input”) we generate the string $z = z_{(\alpha, x)}(y) \in \{0, 1\}^n$ defined by setting $z_i = y_i$ if $\alpha_i = ‘*$ ’ and $z_i = x_i$ if $\alpha_i = ‘\square’$. We think of a pair $\rho = (\alpha, x)$ as a “restriction” that can be applied on a function C over n bit strings. Namely, we define $C|_{(\alpha, x)}(y) = C(z_{(\alpha, x)}(y))$.*

We use the following theorem from [TX12]. Loosely speaking, the theorem below says that there is a randomness efficient way to sample an n -bit selection α , so that if we couple α with a uniform string x to yield a restriction $\rho = (\alpha, x)$, and apply this restriction on a constant depth circuit $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$, then the circuit “simplifies” and becomes a decision forest of small depth.¹⁴

Theorem 5.3 ([TX12]). *Let $n < M$ and d, q, s be positive integers such that $q \leq n$. Let $p = 2^{-q}$ and let $\epsilon_0 \geq 2^{-n}$ be a parameter. There is a $\text{poly}(n, d)$ -time procedure G which receives a string of length $r = d \cdot \tilde{O}(q^2 \log^2 \frac{M}{\epsilon_0})$ and outputs an n -bit selection α such that for every circuit $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ of size $M > \ell$ and depth d :*

- *The probability that $C|_{(\alpha, x)}(\cdot)$ is not computable by a depth s decision forest is at most $M \cdot (2^{s+\log M+1} \cdot (10p \log M)^s + \epsilon_0 \cdot 2^{(s+1) \cdot 3 \log M})$, where the probability is over choosing $\alpha \leftarrow G(U_r)$ and $x \leftarrow U_n$.*
- *For every $1 \leq i \leq n$, the probability that $\alpha_i = ‘*$ ’ is at least $p^{d-1}/40$, where the probability is over choosing $\alpha \leftarrow G(U_r)$.*

We use Theorem 5.3 (as well as additional ideas from [TX12]) to prove the theorem below. Loosely speaking, this theorem states that we can use very few random bits to generate a restriction $\rho = (\alpha', \beta')$ which restricts a noticeable fraction of the n bits, and furthermore, for every small constant depth circuit $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$, if we supplement the restricted bits with uniform bits and apply C , we obtain a distribution that is statistically-close to $C(U_n)$. This already gives a way to use less than n random bits to sample a distribution close to $C(U_n)$.

Theorem 5.4. *Let $\ell \leq n < M$ and d be positive integers, and let $\epsilon \geq 2^{-n}$ be a parameter. There is a $\text{poly}(n, d)$ -time procedure G' which receives a string of length $r = O(d \cdot \ell \cdot \log^5(M/\epsilon))$ and outputs an n -bit selection α' and an n -bit string $\beta' \in \{0, 1\}^n$ such that for every circuit $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ of size M and depth d :*

¹⁴The theorem below is stated for $\ell = 1$ in [TX12]. We are interested in circuits that output $\ell > 1$ bits. The proof of [TX12] works also in this case and yields a decision forest. Alternatively, Theorem 5.3 below also follows trivially (with slightly worse constants) by applying the Theorem for the boolean case on each of the ℓ output bits of the circuit, and taking a union bound.

- The distributions $(C|_{(\alpha',\beta')}(x))_{(\alpha',\beta')\leftarrow G'(U_r),x\leftarrow U_n}$ and $C(U_n)$ are ϵ -close.
- For every $1 \leq i \leq n$, the probability that $\alpha_i \neq *$ is at least $1/O(\log M)^d$, where the probability is over choosing $(\alpha',\beta') \leftarrow G'(U_r)$.

Proof. We construct G' as follows. We think of the input $a \in \{0,1\}^r$ as a concatenation $a = (a_1, a_2)$ of two strings of lengths r_1, r_2 that we specify later. We set $p = 1/40 \log M$, $s = \log(M/4\epsilon)$ and obtain α by applying the procedure G from Theorem 5.3 on a_1 with a parameter ϵ_0 to be chosen later. We set α' be the inverse selection. That is, we obtain α' from α by changing $*$'s into \square 's and vice-versa. We use a_2 as a seed to a generator $kW(\cdot)$ that samples an n -bit k -wise independent distribution for $k = \ell \cdot s$, and let $\beta' = kW(a_2)$. Note that the selection α' fixes at least $p^{d-1}/40 = 1/O(\log M)^d$ bits. For every selection α and assignment $x \in \{0,1\}^n$ such that $C_{(\alpha,x)}(\cdot)$ is computable by a depth s decision forest, we have that the distribution $(C_{(\alpha,x)}(\beta'))_{\beta' \leftarrow kW(U_{r_2})}$ is identical to $(C_{(\alpha,x)}(\beta))_{\beta \leftarrow U_n}$. By Theorem 5.3 we obtain such a pair (α, x) with probability $1 - \epsilon$ if we pick $\epsilon_0 = 2^{-O(\log^2(M/\epsilon))}$. Furthermore, note that if α' is the inverse of some selection α , then for every $x, \beta \in \{0,1\}^n$, $C|_{(\alpha,x)}(\beta) = C|_{(\alpha',\beta)}(x)$. Therefore, we conclude that the distribution $(C|_{(\alpha',\beta')}(x))_{(\alpha',\beta')\leftarrow G'(U_r),x\leftarrow U_n}$ is identical to $(C|_{(\alpha,x)}(\beta'))_{(\alpha \leftarrow G(U_{r_1}), \beta' \leftarrow kW(U_{r_2}), x \leftarrow U_n)}$ (which by Theorem 5.3 and the aforementioned discussion) is ϵ -close to $(C|_{(\alpha,x)}(\beta))_{\alpha \leftarrow G(U_{r_1}), \beta \leftarrow U_n, x \leftarrow U_n}$, which is in turn identical to $C(U_n)$. Overall, we have that $r_1 = d \cdot \tilde{O}(\log^4(M/\epsilon))$, and we can choose $r_2 = O(s \cdot \ell \cdot \log n) = O(\ell \cdot \log n \cdot (\log M + \log(1/\epsilon)))$ overall, we can choose $r = O(d \cdot \ell \cdot \log^5(M/\epsilon))$. \square

We are now ready to prove the first item of Theorem 5.1.

Proof. (of the first item of Theorem 5.1) We apply the procedure of Theorem 5.4 t times for t to be chosen later, using t independent seeds (and using $\epsilon/2t$ as the error in the application of Theorem 5.4). We obtain selections $\alpha'_1, \dots, \alpha'_t$ and assignments $\beta'_1, \dots, \beta'_t$. We have that for every $1 \leq j \leq t$ and every $1 \leq i \leq n$, the probability that the i 'th bit of α'_j is not $*$ is at least $\gamma = 1/O(\log M)^d$. Thus, by a union bound, taking $t = O(\log n \cdot \log(1/\epsilon)/\gamma)$ gives that with probability at least $1 - \epsilon/2$ for every $1 \leq i \leq n$ there exists a $1 \leq j \leq t$ such that the i 'th bit of α_j is not $*$. Let z_i be the i 'th bit of β'_j . We output the n bit string $z = z_1, \dots, z_n$. Note that this is the string obtained by repeatedly applying the restrictions (α'_i, β'_i) until all bits are restricted. The correctness of G follows from the repeated application of Theorem 5.4 and summing the t errors. Overall we obtain that $r = t \cdot d \cdot \ell \cdot \log^5(Mt/\epsilon) = \ell \cdot O(\log M)^{d+7} \cdot \log^7(1/\epsilon)$. \square

5.2 Implementing the nb-PRG by constant depth circuits

We want to implement the procedure G of Theorem 5.1 by uniform circuits of polynomial size and constant depth. An important ingredient in the construction of G is a generator that samples an n -bit k -wise independent distributions. We start by observing that it is possible to construct a generator that samples such a distribution using seed that is not much larger than the standard $k \cdot \log n$, with the advantage that such a generator can be computed in constant depth. We use an approach from [Vio12, MST06].

Lemma 5.5. *Let $\alpha > 0$ be a constant and let $k < n$ be integers. There is a uniform family of circuits $kW : \{0,1\}^r \rightarrow \{0,1\}^n$ of size $\text{poly}(n)$ and depth $O(1/\alpha)$, such that $G(U_r)$ is k -wise independent and $r = k^{1+\alpha} \cdot O(\log n)^{4+4/\alpha}$.*

Proof. We use the explicit construction of unbalanced expander graphs of [GUV07]. By [GUV07] for every constant $\alpha > 0$ there is an explicit construction of bipartite graphs, with n left hand nodes, such that the degree of every left hand node is $a = O((\log n) \cdot (\log k))^{1+1/\alpha}$, there are at most $r = a^2 \cdot k^{1+\alpha}$ right hand nodes, and every set S of left hand nodes of size $k' \leq k$ has at least $3ak'/4$ neighbors. It is standard that in such graphs, every such set S has a unique neighbor, namely a right hand node v which is a neighbor of precisely one node $u \in S$. Such a graph can be used to sample a k -wise independent distribution as follows: Given $x \in \{0,1\}^r$, the i 'th bit of $kW(x)$ is obtained by looking at the neighbors $j_1, \dots, j_a \in [r]$ of node i and taking the parity of x_{j_1}, \dots, x_{j_a} . By the Vazirani XOR-lemma (see e.g., [Gol11]), to show that $kW(U_r)$ is k -wise independent, it is sufficient to show that for any subset S of size $k' \leq k$ of $[n]$, the parity of the k' output bits of $kW(U_r)$ specified by S is uniformly distributed. To show this, we note that each such subset S has a unique neighbor v and the uniform choice of x_v indeed guarantees that the overall parity (which is a parity of parities) is uniformly distributed.

Summing up, we obtain that for every $\alpha > 0$ we can sample a k -wise independent distribution using $r = k^{1+\alpha} \cdot O(\log n \cdot \log k)^{2(1+1/\alpha)}$ bits. Furthermore, each output bit of kW is the parity of $a = O((\log n) \cdot (\log k))^{1+1/\alpha} = (\log n)^{O(1/\alpha)}$ bits of the input. Such parities can be computed by uniform circuits of size $\text{poly}(n)$ and depth $d = O(1/\alpha)$. Moreover, the explicitness of the construction of the bipartite graph means that given a left hand node $u \in [n]$ and a number $1 \leq y \leq a$ it is possible to compute the y 'th neighbor of u in polynomial time. Altogether, we obtain that kW can be computed by a uniform circuit of size $\text{poly}(n)$ and depth $O(1/\alpha)$. \square

Our next step is to argue that it is possible to implement the procedure G from Theorem 5.3 by constant depth circuits. For this purpose we give an overview of this construction while focusing on the choice of parameters used in the proof of Theorem 5.4, namely $p = 1/40 \log M$, $s = \log(M/4\epsilon)$ and $\epsilon_0 = 2^{-O(\log^2(M/\epsilon))}$. The procedure G uses its seed to apply an ϵ_0 -PRG that outputs $n + qn$ bits which are pseudorandom for depth 2 circuits of size $M^{\log \log M}$. By [Baz09, Raz09] pseudorandom generators for δ -fooling size M depth 2 circuits (as required above) can be implemented by $O(\log^2(M/\delta))$ -wise independence. This means that we can use $O(\log^4(M/\epsilon))$ -wise independence as the building block used to construct G . By Lemma 5.5 we can implement this much independence by a uniform circuit of size $\text{poly}(n)$ and a universal constant depth, while using seed $\log^c(M/\epsilon)$ for some universal constant c . This is inferior to the seed used in [TX12] (where c is $2 + o(1)$), but this will make essentially no difference in our final result.

In [TX12], this generator is applied d times (on independent seeds) to produce d outputs. In each of the d applications, the first n bits are interpreted as an assignment x_j and the last qn bits specify a selection α_j by treating the bits as n sequences of q bits, and setting the i 'th bit of α_j to $*$ if and only if all q bits in the i 'th sequence are 1. The final restriction (α, x) is obtained by composing the d restrictions. It is easy to check that the described computation can be done in poly-size and constant depth and therefore G from Theorem 5.3 can be computed in poly-size and constant depth.

The construction in the proof of Theorem 5.4 can also be implemented in poly-size and constant depth by using Lemma 5.5 to generate the k -wise independent distribution. Overall, we obtain a version of Theorem 5.4 where r is slightly larger: Namely, for every $\alpha > 0$ we can get $r = O(d \cdot \ell^{1+\alpha} \cdot \log^{O(1/\alpha)}(M/\epsilon))$, where the advantage is that G' can be implemented by a family of uniform circuits of size $\text{poly}(n, d)$ and depth $O(1/\alpha)$. The proof of the second item of Theorem 5.1 follows just the same as the first item, while using the modified version of Theorem 5.4 and noticing that the construction described in the proof can indeed be implemented by uniform poly-size and

constant depth circuits.

6 nb-distinguishers imply cd-distinguishers

In this section we prove 2.1. Within this section we denote the statistical distance of two distributions P, Q by $\text{SD}(P; Q)$. We start by proving Lemma 2.2.

Proof. (of Lemma 2.2) Let $p = \Pr[f(R) = 0]$ and $p' = \Pr[f(V) = 0]$. We have that:

$$\begin{aligned} \alpha &\leq \text{SD}(R; V) = \frac{1}{2} \cdot \sum_{s \in S} |\Pr[R = s] - \Pr[V = s]| \\ &= \frac{1}{2} \cdot \sum_{s: f(s)=0} |\Pr[R = s] - \Pr[V = s]| + \frac{1}{2} \cdot \sum_{s: f(s)=1} |\Pr[R = s] - \Pr[V = s]| \\ &= \frac{1}{2} \cdot \sum_{s: f(s)=0} |\Pr[R = s | f(R) = 0] \cdot p - \Pr[V = s | f(V) = 0] \cdot p'| \\ &\quad + \frac{1}{2} \cdot \sum_{s: f(s)=1} |\Pr[R = s | f(R) = 1] \cdot (1 - p) - \Pr[V = s | f(V) = 0] \cdot (1 - p')| \end{aligned}$$

If $|p - p'| > \rho$ then the first condition holds and we are done. Therefore, we assume that $|p - p'| \leq \rho$.

$$\begin{aligned} &\leq \frac{p}{2} \sum_{s: f(s)=0} |\Pr[R = s | f(R) = 0] - \Pr[V = s | f(V) = 0]| + \frac{\rho}{2} \\ &\quad + \frac{1-p}{2} \sum_{s: f(s)=1} |\Pr[R = s | f(R) = 1] - \Pr[V = s | f(V) = 1]| + \frac{\rho}{2} \\ &\leq \rho + p \cdot \text{SD}((R | f(R) = 0); (V | f(V) = 0)) + (1 - p) \cdot \text{SD}((R | f(R) = 1); (V | f(V) = 1)) \end{aligned}$$

Let $a_i = \text{SD}((R | f(R) = i); (V | f(V) = i))$. It follows that the weighted average $p \cdot a_0 + (1 - p) \cdot a_1$ is larger than $\alpha - \rho$. Thus, if $a_1 \leq (\alpha - \rho) \cdot (1 - \nu)$ then (using the fact that $p \leq 1/2$):

$$a_0 > \frac{(\alpha - \rho) \cdot (p + \nu(1 - p))}{p} \geq (\alpha - \rho) \cdot (1 + \nu/2p)$$

□

We are now ready to prove Lemma 2.1.

Proof. (of Lemma 2.1) Let $R^1 = R$, $V^1 = V$ and $\epsilon_1 = \ell^{-B_2}$. We consider the following iterative process, where in each step we define distributions R^i, V^i and a number ϵ_i . We will maintain the invariant that at step i , we have R^i, V^i over $\{0, 1\}^\ell$, such that $\text{SD}(R^i, V^i) \geq \epsilon_i$. Let $f : \{0, 1\}^\ell \rightarrow \{0, 1\}$ be defined as follows: If $\Pr[R_i^i = 0] \leq \frac{1}{2}$, $f(z) = z_i$ and otherwise $f(z) = 1 - z_i$. We now apply Lemma 2.2 on R_i, V_i choosing $\alpha = \epsilon_i$, $\nu = \log \ell / \ell$, and $\rho = \epsilon_i \cdot \nu / 2$. We stop the iterative process if

$$|\Pr[f(R^i) = 1] - \Pr[f(V^i) = 1]| > \rho.$$

If we didn't stop then by Lemma 2.2 there are two options:

- If $SD((R^i|f(R^i) = 1); (V^i|f(V^i) = 1)) \geq (\alpha - \rho) \cdot (1 - \nu)$, we set $R^{i+1} = (R^i|f(R^i) = 1)$ and $V^{i+1} = (V^i|f(V^i) = 1)$. We call the i 'th step *safe*, increase i by one, and continue the process, setting $\epsilon_{i+1} = (\epsilon_i - \rho) \cdot (1 - \nu)$ and we indeed have that $SD(R^{i+1}, V^{i+1}) \geq \epsilon_{i+1} \geq \epsilon_i \cdot (1 - \nu)^2 \geq \epsilon_i \cdot (1 - 2\nu)$.
- Otherwise, $SD((R^i|f(R^i) = 0); (V^i|f(V^i) = 0)) \geq (\alpha - \rho) \cdot (1 + \nu/2p)$, we set $R^{i+1} = (R^i|f(R^i) = 0)$ and $V^{i+1} = (V^i|f(V^i) = 0)$. We call the i 'th step *risky*, increase i by one, and continue the process, setting $\epsilon_{i+1} = (\epsilon_i - \rho) \cdot (1 + \nu/2p)$ and we indeed have that $SD(R^{i+1}, V^{i+1}) \geq \epsilon_{i+1} \geq \epsilon_i \cdot (1 - \nu) \cdot (1 + \nu/2p)$.

We say that a step i is relevant if we didn't stop at this step. We make the following observations:

- There exists a $z \in \{0, 1\}^\ell$ such that at every relevant step i , $R^i = (R|R_{1\dots i-1} = z_{1\dots i-1})$ and $V^i = (V|V_{1\dots i-1} = z_{1\dots i-1})$.
- At every relevant i , $\epsilon_i \geq \epsilon_1 \cdot (1 - 2\nu)^{i-1}$, therefore at every relevant i , $\epsilon_i \geq \epsilon_1 \cdot (1 - 2\nu)^\ell \geq \ell^{-(B_2+4)}$.
- At each step we choose $\rho = \epsilon_{i-1} \cdot \nu/2$ and thus at all steps $\rho \geq \ell^{-(B_2+5)}$.
- If we didn't stop until the ℓ 'th step, then we will stop at the ℓ 'th step.
- Consequently, there exists an $i \in [\ell]$ such that

$$|\Pr[R_i = z_i | R_{1,\dots,i-1} = z_{1,\dots,i-1}] - \Pr[V_i = z_i | V_{1,\dots,i-1} = z_{1,\dots,i-1}]| > \ell^{-(C+5)}$$

- At every safe step, $\Pr[R_i = z_i | R_{1,\dots,i-1} = z_{1,\dots,i-1}] \geq 1/2$.

Let $i^* \leq \ell$ be the last relevant step. We are interested in showing that the “final density” $d = \Pr[R_{1,\dots,i^*-1} = z_{1,\dots,i^*-1}]$ is not too small, that is that $d \geq 2^{-B_1 \ell}$ for some universal constant B_1 . In safe steps the density decreases by a factor greater than $1/2$, and so ℓ safe steps give density $\geq 2^{-\ell}$ which we are happy with. We are worried that risky steps may significantly decrease the density. We will prove that even ℓ risky steps do not decrease the density too much.

Consider, an adversary that is trying to reduce the density. This adversary has the choice of how many risky steps to use (we will denote this by t), which of the ℓ steps are chosen to be risky, and at each risky step what is the p that is used (we will denote the choice of p at the i 'th risky step by p_i). With such a choice the density may drop to

$$2^{-(\ell-t)} \cdot \prod_1^t p_i,$$

and note that this is independent of the choice of which steps are risky, and so we do not have to worry about this. Note that such an adversary pays a price: in every risky step ϵ_i increases, and ϵ_i cannot exceed one. More precisely, we obtain that the final ϵ obtained in the process must satisfy,

$$1 \geq \epsilon \geq \epsilon_1 \cdot (1 - 2\nu)^\ell \cdot \prod_1^t (1 + \nu/2p_i).$$

Which gives:

$$\prod_1^t (1 + \nu/2p_i) \leq \ell^{B_2+O(1)}$$

In particular, each p_i must satisfy $p_i \geq \ell^{-(B_2+O(1))}$. There cannot be more than $O(B_2 \cdot \log \ell)$ p_i 's for which $\nu/2p_i$ is larger than say $1/1000$ (as the total contribution of such p_i 's will contradict the inequality). Thus, even if each such $p_i = \ell^{-(B_2+O(1))}$ the total contribution of such p_i 's to the density is bounded by $(\ell^{-B_2+O(1)})^{O(B_2 \cdot \log \ell)} \geq 2^{-0.1 \cdot \ell}$ for sufficiently large ℓ . Thus, we can safely ignore such p_i 's and assume that all p_i 's satisfy that $x = \nu/2p_i < 1/1000$, so that we can approximate $1 + x = e^{\Theta(x)}$. This allows us to represent our optimization problem as follows: Let us denote $p_i = 1/a_i$ for $a_i \geq 2$. Rearranging the terms we arrive at the following optimization problem: The adversary needs to choose t and $a_1, \dots, a_t \geq 2$ such that

$$\sum_1^t a_i \leq E \cdot \ell$$

(for some constant E depending on B_2) while maximizing

$$\prod_1^t \frac{a_i}{2}$$

Note that once the adversary chooses t , the best possible choice is to pick $a_i = \frac{E \cdot \ell}{t}$ independent of i . This means that as a function of t , the adversary needs to maximize $f(t) = (\frac{E \cdot \ell}{2t})^t$. It is easy to verify by straightforward calculus that assuming $E > 2e$ (that we can assume w.l.o.g) the function $f(t)$ is increasing in the interval $[1, \ell]$, and therefore, the maximum is obtained picking $t = \ell$. It follows that the worst possible density is bounded by the scenario in which all the ℓ steps are risky, and all p_i 's are $1/a$ for a constant a independent of ℓ , and therefore the density is at least $a^{-\ell} = 2^{-B_1 \cdot \ell}$ for some universal constant $B_1 > 0$. \square

7 Discussion and Open Problems

The notions of cd-PRGs and wcd-PRGs are quite strong, and we are expecting that they will find applications in various setups.

We are using hardness against nondeterministic NP-circuits to construct nb-PRGs. Is it possible to use hardness for a weaker class? (Say nondeterministic circuits or NP circuits). We remark that we can construct wcd-PRGs under hardness for nondeterministic circuits (which seems like the best that can be done). However, we were not able reduce nb-PRGs to wcd-PRGs. This suggests that we may be able to achieve the weaker hardness assumption by improving the reduction so that we can use a weaker notion than cd-PRGs and showing how to construct such PRGs using hardness assumptions for nondeterministic circuits.

Recently, it is shown by [AIK06] (and following work) that many cryptographic primitives can be computed by low circuit classes (and in particular, by poly-size constant depth circuits). We expect that nb-PRGs for poly-size constant depth circuits can be useful in this setup (as they fool the circuits that implement the primitives). Moreover, our PRGs are also implementable in poly-size and constant depth, and this may be helpful (even in the boolean case), as low complexity security reductions can run them.

Is it possible to give unconditional constructions of nb-PRGs against size $s = n^c$ and depth d circuits $C : \{0, 1\}^n \rightarrow \{0, 1\}^\ell$ with seed length $O(\ell) + O(\log^{a_d} s)$ for a constant a_d that depends only on d ? Note that we achieve the multiplication of the two terms, but it may be possible to achieve the sum (even without new progress on circuit lower bounds for constant depth circuits).

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